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# Real-time Processing and Validation of Optical Ranging in a Cluttered Environment<sup>#</sup>

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## Abstract

This paper presents real-time algorithms used to extract 3-D range information obtained from a standard area scan CCD camera in an optically cluttered environment. The system uses the redundancy of the range information obtained when combining a standard triangulation-based 3-D laser scanner and the NRC's BIRIS system to validate the range measurements and to eliminate the outliers.

## Introduction

Vision provides more information about the immediate environment than any other type of sensing technique. Although 2-D image processing is an attractive option for well controlled environments, 3-D digital imaging provides significant advantages, for example in applications such as environment modeling and perception, and for many industrial applications. Knowing the exact dimensions and shape of an object greatly simplifies the analysis of the data, providing scale and perspective invariant information to the computer.

Active triangulation is one of the most commonly used method for acquiring range data [1]. Although it has been around for several years, it is only recently that 3-D is really starting to emerge in more and more applications within industry. This is partly due to the development of low cost geometrically stable imaging sensors such as CCD's, inexpensive laser diodes sources, and powerful digital signal processing systems.

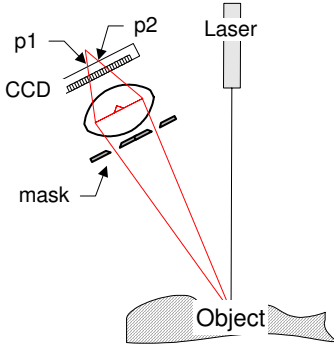
Two popular laser-based techniques used for optical ranging of a target surface are known as the standard optical triangulation system and the BIRIS (bi-iris) system [1], the latter employing an aperture mask in a

converging lens system and a position sensitive CCD camera detector. Basic triangulation is very sensitive to the conditions of the environment. It operates well in a highly controlled environment but fails in more complex situations. For example, if the target surface is reflective or perturbations such as light from an open window enters the field of view of the camera, the detector becomes confused and cannot discriminate between the true target surface and false signals from either other objects or perturbations. The BIRIS system is better than the triangulation system in this respect because it uses the redundancy introduced by a dual aperture mask to validate the measurements. Combining the two techniques increases the accuracy of the sensing method without compromising the resolution of the 3-D system.

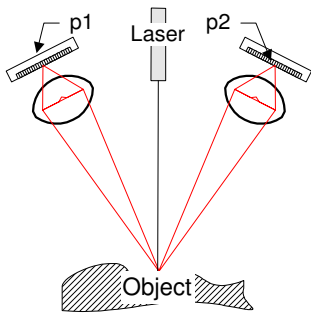
## Range measurement

A quick overview of triangulation is presented to situate the context in which the algorithms are used. Figure 1 shows the "combined" BIRIS and plane-of-light techniques. The mask inserted in the lens of the CCD camera produces two images of the projected laser beam. Assuming that  $p1$  and  $p2$  are the locations of the laser peaks in the video image, both the center position of the laser points  $p=(p1+p2)/2$  and their separation  $b=p2-p1$  on the CCD sensor will be affected by a change in range of the laser points projected on the object. Range is computed by measuring either the laser positions  $p1$  or  $p2$  (standard plane of light technique), the center position of the points  $p=(p1+p2)/2$ , or their separation  $b=p2-p1$  (BIRIS). A total of four possible ways for measuring range information can be used of which two are independent:

$$z_p = \frac{1}{k_p + l_p \cdot p} \quad (1)$$



**Figure 1:** Triangulation using BIRIS



**Figure 2:** Double triangulation

$$z_b = \frac{1}{k_b + l_b \cdot b} \quad (2)$$

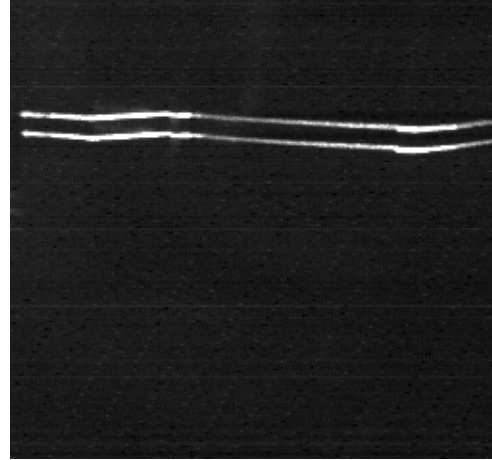
where  $k_p$ ,  $l_p$ ,  $k_b$ , and  $l_b$  are calibration constants that depend on the opto-mechanical configuration of the sensor such as the focal length of the lens and the triangulation base. Details are available in [2]. Figure 2 shows another possible technique of obtaining range information from the use of two range sensors sharing the same laser source. As in the previous case, range information can be computed using the generalized equation:

$$z_i = \frac{1}{k_{p_i} + l_{p_i} p_i} \quad (3)$$

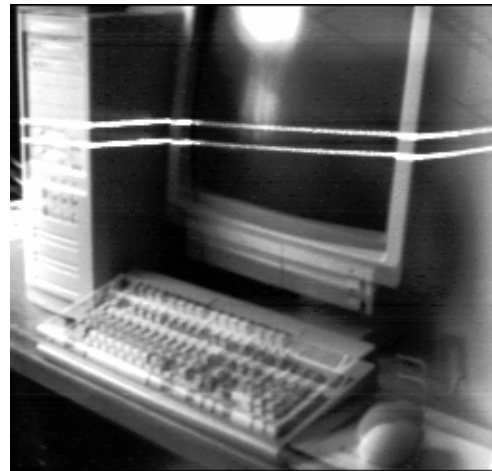
where  $p_i = p_1$  or  $p_i = p_2$ .

Figure 3 shows an “ideal” video image measured by the range sensor of Figure 1. Figure 4 represents a more realistic scene as encountered in a typically cluttered environment, showing the effects of ambient illumination on the CCD image.

The processing of the video information described in this paper is independent of the geometrical structure of the scanner and can be used with either technique.



**Figure 3:** Triangulation using principle of Fig. 1



**Figure 4:** Effects of ambient illumination on the video image. The doubled image is created by the mask of Fig. 1.

## Signal Processing

The ability to distinguish between false measurements generated by the cluttered environment and those that truly represent a selected point on the target surface requires special signal processing that can be difficult to implement in real-time if not kept simple and optimized for this task.

Table 1 lists the most important sources of noise present in the digitized video image. Although some of these sources of interference can be reduced by proper design, they cannot be totally eliminated. For example, an optical interference filter will reduce the optical effects of the sun interference and ambient illumination, not eliminate them. This is shown in

Figure 4 where an optical interference filter was used. The same interference filter will have no effect on other laser sources at the same wavelength.

External illumination	Ambient illumination and other light sources Direct sunlight interference Other lasers
Laser	Laser speckle Laser focusing Laser power, object reflectivity
Electrical	Electromagnetic interference CCD induced noise (e.g. clock) Other electrical noise
Other	Signal jitter (e.g. video sync.) Signal quantification Image aliasing Sensor non-linearity

**Table 1:** Sources of noise

Some of the sources of noise listed in Table 1 have a multiplicative effect on the signal (e.g. laser speckle [3] and object reflectivity), others are purely additive (e.g., electrical noise, external illumination), or introduce distortions in the signal (e.g., aliasing, focusing, jitter).

Mathematically, the digitized video signal can be represented by:

$$Y(n) = sm(n) \cdot [X(n) \otimes sc(n)] + sa(n) \quad (4)$$

where  $\otimes$  denotes the convolution operation;  $sm(n)$ ,  $sc(n)$ , and  $sa(n)$  represent the different sources of noise.  $X(n)$  is usually modeled using a Gaussian type distribution of the form:

$$X(n) = e^{-\frac{1}{2} \left( \frac{n-p_i}{\sigma} \right)^2} \quad (5)$$

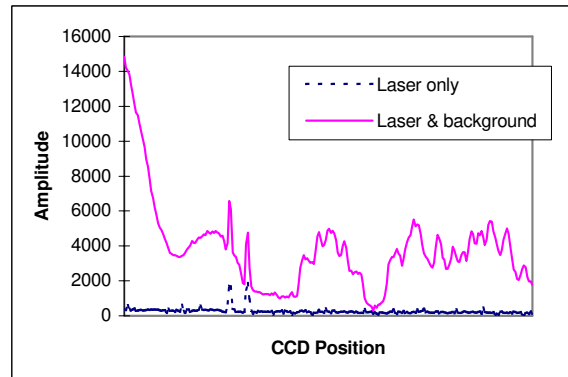
Figure 5 shows a typical profile extracted from the video image of Figures 3 and 4 respectively. Figure 6 shows the Fourier spectrum of the image of Figure 4.

### Peak position detection - signal filtering

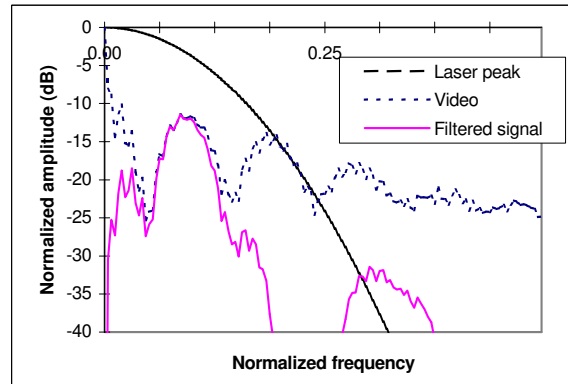
Detection of the laser peak position of the video signal consists of measuring the position and intensity of the peaks of the laser lines with maximum accuracy (to within a fraction of a pixel) at video rates (10MHz).

A more detailed analysis of the sources of noise shows that the most important ones are:

- ambient illumination, principally in the low frequency spectrum;
- electrical noise, uniformly distributed;
- CCD induced noise: the major source being mainly induced by the clocking mechanism of the CCD creating harmonics at  $1/4$ ,  $1/2$ , and  $3/4$  of the sampling frequency;
- shot noise of distribution  $1/f$ ; and
- laser speckle noise that is multiplicative with the signal, and therefore creates a convolution of the Gaussian frequency distribution of the signal in the frequency domain.



**Figure 5:** Intensity profiles extracted from one vertical video line of Fig. 3 & 4.



**Figure 6:** Normalized Fourier Spectrum

The peak position operation uses FIR derivative filters to extract the location of the spots to  $1/64$ th of a pixel and to validate the measurements [4]. Using the  $z$ -transform, the filtering/derivative operation is expressed as:

$$h(n) = (1 + z^{-1})(1 + z^{-2})(1 - z^{-5}) \quad (6)$$

The location of the zero crossing of the output signal of this derivative operator gives the position of the laser peak. The second derivative, from a second operator, is used to validate the detection. Linear interpolation of the zero crossing of the first derivative provides sub-pixel accuracy to 6-bits of resolution ( $1/64^{\text{th}}$  of a pixel).

These simple operations have proven to be quite robust and accurate. A comparison between this technique and other popular techniques such as centroid calculations, single or double thresholding, Gaussian filtering, etc., have been discussed in [4,5,6].

Figure 6 shows the frequency response of the filtered signal of equation 6. The zeros in the frequency response of the filter remove the undesired noise introduced by the clocking mechanism of the CCD.

## Peak Position Validation

Although proper filtering helps in removing most of the unwanted noise in the video signal, some other sources of noise will still affect seriously the quality of the video signal. They are principally:

- specular reflections in the image that creates false laser peaks; and
- false measurements introduced by other laser sources when multiple cameras are used simultaneously.

From the redundancy in the range equations 1 and 2, for a given laser point at range  $z$  we have

$$k_p + l_p \cdot p = k_b + l_b \cdot b \quad (8)$$

rewritten

$$0 = k_p + l_p \cdot (p1 + p2) - k_b - l_b \cdot (p2 - p1) \quad (9)$$

$$0 = p2 - m \cdot p1 - n \quad (10)$$

Let us assume that  $p_i$  and  $p_j$  are two of the  $N$  laser peaks measured in the video signal, then the pair  $p_i, p_j$  that minimizes:

$$\varepsilon_{i,j} = p_j - m \cdot p_i - n \quad (11)$$

with  $\varepsilon_{i,j} < \varepsilon_{\min}$  for  $\forall i, j \in [0, N-1]$  is considered the valid candidate. Parameters  $m$  and  $n$  are obtained during the creation of the model of the camera of the sensor (calibration).

## Calibration of the range data

Calibration is used to compensate for the distortions introduced by the optical system. It consists of: (a) the creation of a model of the camera-lens-laser system, (b) the real-time calibration of the raw data using these calibration parameters.

The field of photogrammetry has presented a full range of models for the calibration of camera lenses [7]. The simple model, that is generally used, is based on the third order Seidel aberrations of a spherical lens. For range sensors, we found that this model is not sufficient and more parameters must be included such as:

- a change in the focal length of the lens with range;
- strong astigmatism in the lens design;
- non-symmetries and non-linearity in the laser-lens design

It is beyond the scope of this paper to discuss the details on the creation of a calibration model for the system. Instead, only the real-time implementation of sensor calibration using a generalized model will be presented. The generalized model we are using exploits efficiently the single cycle multiply-accumulate instruction of a DSP.

Expanding the inverse  $w=1/z$  of the range equation 3, in a Taylor expansion of order  $K$ , we have:

$$z = \frac{1}{W} = \frac{1}{l_0 + l_1 p + l_2 p^2 + \dots + l_K p^K} \quad (11)$$

for each video line  $u$  of the CCD camera. Equation 11 is generalized for the whole CCD using

$$W = \sum_{\eta=0}^L \sum_{\kappa=0}^K \alpha_{\eta,\tau} \cdot u^\eta \cdot p^\kappa \quad (12)$$

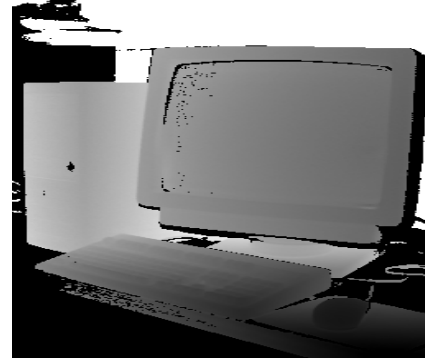
With  $K=3$  and  $L=6$ , a very good correction of the distortions of the camera-lens system is obtained. In matrices format equation 12 is rewritten:

$$W = L^T \cdot M \cdot P \quad (12)$$

$M$  is a matrix of dimensions  $(L+1) \times (K+1)$  that contains the calibration parameters of the sensor and  $L^T = [1 \ u \ u^2 \ \dots \ u^L]$ ,  $P^T = [1 \ p \ \dots \ p^K]$ .



**Figure 7:** Intensity image (quantity of laser light reflected from the object)



**Figure 8:** Range image of the object of Fig. 7. Intensity of the image is proportional to range

## Practical implementation

The signal operations described in this paper have been implemented on an IBM-PC/AT board set using a dedicated FPGA and a Transputer<sup>1</sup> network to obtain, in real-time, more than 45000 calibrated 3-D points X-Y-Z per second, per camera<sup>2</sup>. The PC is used as a host processor.

Experimental measurements show RMS errors of 1/8 of a pixel, using this algorithm. Furthermore, in very cluttered environments, less than 0.1% of outliers, are sometimes detected. Because these outliers are very localized in a range image, subsequent post-processing of the 3-D image eliminates the remaining false measurements. Figure 7 and 8 show a 3-D range image and the intensity image in perfect registration obtained using these algorithms and the optical configuration of Figure 1. For this image no outlier was measured and range accuracy of 1 mm RMS is obtained.

## Conclusion

One of the most prominent problem of conventional triangulation-based 3-D range sensors is their limitations when dealing with environments where ambient illumination creates a lot of erroneous readings. That makes conventional 3-D range technique highly susceptible to false measurements which then requires complex image processing techniques to filter out the noise. This paper has

presented algorithms that are used to eliminate the problems associated with optically cluttered environments. Redundancy in the range measurement has been used to considerably reduce the number of outliers detected in a video image. Particular considerations have been placed on the low-cost real-time implementation of the algorithms to obtain accurate and “clean” 3-D range images of a scene, in real-time.

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<sup>1</sup> A TMS320C44 based system and PCI bus is currently available.

<sup>2</sup> A prototype of the Biris/plane-of-light sensor consisting of 6 cameras, each projecting simultaneously 3 laser planes on the scene has been demonstrated [8].