

## NRC Publications Archive Archives des publications du CNRC

### Physicochemical pretreatment selects microbial communities to produce alcohols through metabolism of volatile fatty acids

Mockaitis, Gustavo; Bruant, Guillaume; Foresti, Eugenio; Zaiat, Marcelo; Guiot, Serge R.

This publication could be one of several versions: author's original, accepted manuscript or the publisher's version. / La version de cette publication peut être l'une des suivantes : la version prépublication de l'auteur, la version acceptée du manuscrit ou la version de l'éditeur.

For the publisher's version, please access the DOI link below. / Pour consulter la version de l'éditeur, utilisez le lien DOI ci-dessous.

#### **Publisher's version / Version de l'éditeur:**

<https://doi.org/10.1007/s13399-022-02383-7>

*Biomass Conversion and Biorefinery, 2022-01-28*

#### **NRC Publications Archive Record / Notice des Archives des publications du CNRC :**

<https://nrc-publications.canada.ca/eng/view/object/?id=7206b06d-78c0-4ba6-9c40-5a48b9899ca5>

<https://publications-cnrc.canada.ca/fra/voir/objet/?id=7206b06d-78c0-4ba6-9c40-5a48b9899ca5>

Access and use of this website and the material on it are subject to the Terms and Conditions set forth at

<https://nrc-publications.canada.ca/eng/copyright>

READ THESE TERMS AND CONDITIONS CAREFULLY BEFORE USING THIS WEBSITE.

L'accès à ce site Web et l'utilisation de son contenu sont assujettis aux conditions présentées dans le site

<https://publications-cnrc.canada.ca/fra/droits>

LISEZ CES CONDITIONS ATTENTIVEMENT AVANT D'UTILISER CE SITE WEB.

**Questions?** Contact the NRC Publications Archive team at

PublicationsArchive-ArchivesPublications@nrc-cnrc.gc.ca. If you wish to email the authors directly, please see the first page of the publication for their contact information.

**Vous avez des questions?** Nous pouvons vous aider. Pour communiquer directement avec un auteur, consultez la première page de la revue dans laquelle son article a été publié afin de trouver ses coordonnées. Si vous n'arrivez pas à les repérer, communiquez avec nous à PublicationsArchive-ArchivesPublications@nrc-cnrc.gc.ca.



# Physicochemical pretreatment selects microbial communities to produce alcohols through metabolism of volatile fatty acids

Gustavo Mockaitis<sup>1,3</sup> · Guillaume Bruant<sup>1</sup> · Eugenio Foresti<sup>2</sup> · Marcelo Zaiat<sup>2</sup> · Serge R. Guiot<sup>1</sup>

Received: 30 November 2021 / Revised: 18 January 2022 / Accepted: 20 January 2022  
© The Author(s), under exclusive licence to Springer-Verlag GmbH Germany, part of Springer Nature 2022

## Abstract

This work reports the effect of four different physicochemical pretreatments (acidic, thermal, acidic-thermal, and thermal-acidic) on an anaerobic inoculum aiming at alcohols production, using acetate and butyrate as carbon sources and hydrogen as co-substrate. Pretreatments were carried out to select microbial communities more able to use hydrogen to metabolize volatile fatty acids into their respective alcohols. Experiments were conducted in single batches using acetate and butyrate as substrates at 30 °C and with a pressurized headspace of pure H<sub>2</sub> at 2.15 atm (218.2 MPa). Thermal and acidic-thermal pretreatments lead to higher production of both ethanol and butanol, indicating that these pretreatments successfully selected communities more suitable for acetate and butyrate solventogenesis. Kinetics modelling shows that the highest attainable concentrations of ethanol and butanol produced were 122 mg L<sup>-1</sup> and 97 mg L<sup>-1</sup> for the thermal pretreatment (after 17.5 days) and 87 mg L<sup>-1</sup> and 143 mg L<sup>-1</sup> for the acidic-thermal pretreatment (after 18.9 days). Process thermodynamics indicated that high H<sub>2</sub> partial pressure favoured solventogenic metabolic pathways. Sequencing data showed that both thermal and acidic-thermal pretreatments selected mainly the bacterial genera *Pseudomonas*, *Brevundimonas*, and *Clostridium*. Acidic-thermal pretreatment selected a bacterial community more adapted to the conversion of acetate and butyrate into ethanol and butanol, respectively. Thermal-acidic pretreatment was unstable, showing significant variability between replicates. Acidic pretreatment showed the lowest alcohol production.

**Keywords** Anaerobic digestion · Inoculum pretreatment · Dark fermentation · Alcohols · Anaerobic microbiology

## 1 Background

The constant increase of prices of fossil fuels and the extensive land requirements for crop cultures targeting ethanol production is forcing the market to consider substitute

avenues. The development of bioprocesses using organic residues as raw materials could be an alternative for fuel production. Anaerobic processes can be used to produce alcohol through solventogenic processes [1] and volatile fatty acids (VFAs) and hydrogen (H<sub>2</sub>) through acidogenic processes [2]. The conversion of any wastes into VFAs and H<sub>2</sub> has an immediate commercial interest. Both propionic and butyric acids are raw materials of great interest, with many applications in various sectors, such as the pharmaceutical and chemical industries [3]. H<sub>2</sub> can be considered a raw material for subsequent processes and as an energy carrier to feed fuel cells [4].

Although anaerobic acidogenic processes could be used for wastes valorization, downstream processing of the VFAs obtained through those processes, such as bioconversion into their corresponding alcohols using H<sub>2</sub> produced concomitantly, could have an even greater economic interest [5, 6]. Ethanol and butanol produced through such processes can be used as drop-in liquid fuels, which have a higher market value per unit of energy. This two-steps approach (acidogenic followed by solventogenic processes) for ethanol and

✉ Gustavo Mockaitis  
gusmock@unicamp.br

<sup>1</sup> Anaerobic Technologies and Bioprocess Control Group, Energy, Mining and Environment Research Center, National Research Council Canada, 6100 Royalmount Avenue, Montreal, QC H4P 2R2, Canada

<sup>2</sup> Biological Processes Laboratory, Center for Research, Development and Innovation in Environmental Engineering, São Carlos School of Engineering, University of São Paulo (EESC/USP), Av. João Dagnone Santa Angelina, São Carlos, São Paulo 13563-120 1100, Brazil

<sup>3</sup> Interdisciplinary Research Group On Biotechnology Applied To the Agriculture and the Environment, School of Agricultural Engineering, GBMA/FEAGRI/UNICAMP), University of Campinas, CEP, 501 Cândido Rondon Avenue 13.083-875, Campinas, SP, Brazil

butanol production could both improve solvent production and reduce the toxicity linked to acidogenic processes products [6, 7].

The most important parameters influencing anaerobic solventogenic fermentations include pH, organic acids, nutrient limitation, temperature, oxygen, and inoculum source [1, 6, 8]. Most of the studies performed on ethanol and butanol production through anaerobic solventogenic processes focussed on using sugars as carbon source and on using microbial pure cultures, with the most studied bacterial genera being *Thermoanaerobacter*, *Thermoanaerobacterium*, and *Caloramator* under thermophilic conditions [9–13], and *Clostridium* [14–18].

Using mixed microbial cultures rather than pure cultures to produce alcohols from wastes through biological processes is of great interest. Mixed cultures increase process stability, improve the resistance to both toxicity and microbial contaminations, and bring higher substrate flexibility [19, 20]. However, to date, there is still a lack of fundamental knowledge on mixed cultures used as inoculum, especially when  $H_2$  is used as an electron donor for the conversion of organic acids through solventogenic processes. Characterization of microbial communities capable of performing such processes is thus preponderant.

Enhancement of microbial communities through pretreatment of the inoculum is an effective way to induce changes in the communities to improve process performance. This approach has already been successfully tested, but mainly for optimizing the acidogenic step in  $H_2$  production through dark fermentation [21–23]. Pretreatments used in those studies consisted of modifications of the pH and temperature applied to the inoculum. Since microbial communities involved in acidogenic processes could use  $H_2$  as an electron donor to shift their metabolism to produce alcohols [1, 5, 24], the same inoculum pretreatments could be applied to improve solventogenic processes.

The present work evaluated the effects of four pretreatments of a mixed microbial population (acidic, thermal, acidic-thermal and thermal-acidic) on its capacity to convert VFAs into alcohols, using  $H_2$  as electron donor and an

equimolar mixture of acetate and butyrate as carbon sources. The composition and dynamics of the mixed microbial community were analyzed.

## 2 Materials and methods

### 2.1 Medium

The carbon source was an equimolar mixture of acetate and butyrate ( $17 \text{ mmol L}^{-1}$ , which correspond to 1,000 and 1,476  $\text{mg L}^{-1}$  of acetate and butyrate, respectively). The nutrient medium (micro and macro) composition was prepared considering previous work on anaerobic digestion microbiology [25] and is described in Table 1. The initial pH was adjusted to 6.0.

### 2.2 Raw inoculum

The sludge used as raw inoculum in all assays was a primary digestate of the Carleton Corner Farms (Marionville, ON, Canada –  $45^\circ 11' 14.0'' \text{ N}$ ;  $75^\circ 21' 54.1'' \text{ W}$ ) collected in May 2013. The sludge was sieved three times using a 2-mm mesh sieve to eliminate all inert and heterogeneous lignocellulosic materials. The total volatile solids (TVS) content of the sieved sludge was  $41 \pm 3 \text{ mg TVS} \cdot \text{L}^{-1}$ . The sludge was centrifuged (Sorval™ RC 6 Plus, Thermo Inc.) for 40 min at  $10 \text{ k min}^{-1}$  and at  $5^\circ \text{ C}$ . The supernatant was discarded, and the pellet was resuspended in a phosphate buffer ( $500 \text{ mg} \cdot \text{L}^{-1} \text{ PO}_4^{3-}$ ), using a homogenizer and disperser (Ultraturrax™ T25, IKA Inc.) for 10 min at  $15 \text{ k} \cdot \text{min}^{-1}$ . The sludge was then sonicated to disaggregate possible granules and biofilms, using a sonicator (Vibra Cell™ VC130, Sonics Inc.) with 30 W of power. This step was repeated four times, on ice, with a time/volume dependence relation of  $4 \text{ s} \cdot \text{mL}^{-1}$ , with 2 min interval between sonications. These steps were carried out to ensure the homogeneity of the inoculum and to wash possible organic dissolved materials present in the sludge, which could be used as an alternative carbon source during the process. The pH was corrected to 6.0 using a

**Table 1** Nutrient composition of the medium [25]

Nutrient	Concentration	Nutrient	Concentration	Nutrient	Concentration
$\text{NH}_4\text{Cl}$	$1 \text{ g} \cdot \text{L}^{-1}$	$\text{MnCl}_2 \cdot 4\text{H}_2\text{O}$	$50 \text{ } \mu\text{g} \cdot \text{L}^{-1}$	$(\text{NH}_4)_6\text{Mo}_7\text{O}_{24} \cdot 4\text{H}_2\text{O}$	$50 \text{ } \mu\text{g} \cdot \text{L}^{-1}$
$\text{NaCl}$	$100 \text{ mg} \cdot \text{L}^{-1}$	$\text{AlCl}_3$	$50 \text{ } \mu\text{g} \cdot \text{L}^{-1}$	Pyridoxine chloride	$10 \text{ } \mu\text{g} \cdot \text{L}^{-1}$
$\text{MgCl}_2 \cdot 6\text{H}_2\text{O}$	$100 \text{ mg} \cdot \text{L}^{-1}$	$\text{CoCl}_2 \cdot 6\text{H}_2\text{O}$	$50 \text{ } \mu\text{g} \cdot \text{L}^{-1}$	HCl concentrated	$1 \text{ } \mu\text{L} \cdot \text{L}^{-1}$
$\text{CaCl}_2 \cdot 2\text{H}_2\text{O}$	$50 \text{ mg} \cdot \text{L}^{-1}$	$\text{NiCl}_2 \cdot 6\text{H}_2\text{O}$	$92 \text{ } \mu\text{g} \cdot \text{L}^{-1}$	$\text{Na}_2\text{SeO}_3 \cdot 5\text{H}_2\text{O}$	$100 \text{ } \mu\text{g} \cdot \text{L}^{-1}$
$\text{K}_2\text{HPO}_4 \cdot 3\text{H}_2\text{O}$	$400 \text{ mg} \cdot \text{L}^{-1}$	EDTA	$500 \text{ } \mu\text{g} \cdot \text{L}^{-1}$	Nicotinic acid	$5 \text{ } \mu\text{g} \cdot \text{L}^{-1}$
$\text{FeCl}_2 \cdot 4\text{H}_2\text{O}$	$2 \text{ mg} \cdot \text{L}^{-1}$	Biotin	$2 \text{ } \mu\text{g} \cdot \text{L}^{-1}$	Pantothenic acid	$5 \text{ } \mu\text{g} \cdot \text{L}^{-1}$
$\text{H}_3\text{BO}_3$	$50 \text{ } \mu\text{g} \cdot \text{L}^{-1}$	Riboflavin	$5 \text{ } \mu\text{g} \cdot \text{L}^{-1}$	$\text{B}_{12}$ vitamin	$0.1 \text{ } \mu\text{g} \cdot \text{L}^{-1}$
$\text{ZnCl}_2$	$50 \text{ } \mu\text{g} \cdot \text{L}^{-1}$	Thiamine	$5 \text{ } \mu\text{g} \cdot \text{L}^{-1}$	p-aminobenzoic acid	$5 \text{ } \mu\text{g} \cdot \text{L}^{-1}$
$\text{CuCl}_2 \cdot 2\text{H}_2\text{O}$	$38 \text{ } \mu\text{g} \cdot \text{L}^{-1}$	Folic acid	$2 \text{ } \mu\text{g} \cdot \text{L}^{-1}$	Thioctic acid	$5 \text{ } \mu\text{g} \cdot \text{L}^{-1}$

1.0 M solution of HCl under vigorous stirring. This processed sludge was considered as the control inoculum.

### 2.3 Pretreatments

Although the use of physicochemical pretreatment on anaerobic sludge is a suitable strategy to select microorganisms more adapted to produce hydrogen [26–28], the same pretreatments can be performed to select solventogenic communities. Those pretreatments intend to hinder the methanogenic step of anaerobic digestion, eliminating communities more sensible to pH and temperature shock such as methanogens. Acidic pretreatment aims to select acidophilic consortia, such as solventogenic microorganisms, and thermal pretreatment targets to select endospore-forming bacteria, mainly from the phylum *Firmicutes*, as most of the solventogenic bacteria. Therefore, it is expected that using both acidic and thermal pretreatments, it will be possible to select most of the solventogenic communities from a seed inoculum.

Four different physicochemical pretreatments—acidic, thermal, acidic-thermal, and thermal-acidic—were performed on the control inoculum, according to previous work [29]. Pretreated inocula were then compared to each other, using the control inoculum as a reference. All pretreated inocula were submitted to a starvation process to reduce the length of the lag phase before inoculation. This step consisted of incubating the inoculum for 72 h at 30 °C, under stirring of 50 min<sup>-1</sup>, without the addition of a carbon source. The control inoculum was submitted to the same starvation process and presented a TVS content of 31 ± 0 g TVS·L<sup>-1</sup>.

Acidic pretreatment consisted of decreasing the raw inoculum pH to 3.0 with a 12 M HCl solution under continuous stirring, followed by an incubation of 24 h at 30 °C, with stirring of 50 min<sup>-1</sup>. pH was then increased to 6.0 using a 2 M NaOH solution under stirring, followed by an incubation of 24 h at 30 °C under stirring of 50 min<sup>-1</sup>. In both pH decreasing and increasing inoculum, pH was controlled every hour for the five first hours of incubation to assure

its stability. The acidic pretreated inoculum had a TVS of 29 ± 1 g TVS·L<sup>-1</sup>.

The thermal pretreatment consisted of heating the control inoculum at 90 °C for 20 min, under stirring and using a water batch. The inoculum was then immediately transferred to an ice batch until it reached room temperature (23 °C). The thermally pretreated inoculum had a TVS of 31 ± 0 g TVS·L<sup>-1</sup>.

The acidic-thermal and thermal-acidic pretreatments consisted of performing the two pretreatments sequentially, as indicated by the pretreatment name. The second pretreatment was performed immediately after the first one. The acidic-thermal pretreated inoculum had a TVS of 34 ± 3 g TVS·L<sup>-1</sup> and thermal-acidic pretreated inoculum had a TVS of 32 ± 0 g TVS·L<sup>-1</sup>.

### 2.4 Experimental setup

Initial physicochemical parameters for each experiment (pretreatments and control) and initial concentration of acetate, butyrate (before inoculation) and inoculum are shown in Table 2. Average and deviation values between experiments showed that all studied conditions had a very similar starting composition and concentration. Each experiment was carried out in quintuplicates, using 538 ± 3 mL sealed glass bottles, with an initial working volume of 110 mL. All the bottles were incubated upside down to avoid any diffusion of H<sub>2</sub> through the bottle's cap. All experiments were performed at 30 °C under a 150 min<sup>-1</sup> stirring. At the beginning of each experiment, the headspace of each bottle was totally replaced by pure H<sub>2</sub> (99.99%) at a pressure of 2.39 ± 0.08 atm (242.2 ± 7.9 MPa). Such headspace replacement was repeated after each sampling to ensure constant pressure and composition all along with the experiment.

Initial analyses of the liquid phase at the beginning of the essay confirmed the absence of significant amounts of alcohols, mono or disaccharides, and fatty acids other than acetate and butyrate.

**Table 2** Initial conditions for each assay

Assay	pH	Acetate mg·L <sup>-1</sup>	Butyrate mg·L <sup>-1</sup>	Inoculum g TVS·L <sup>-1</sup>	Total H <sub>2</sub> mmol	Dissolved H <sub>2</sub> * mmol·L <sup>-1</sup>
Control	5.89	1153	1727	11.3 ± 0.2 (5)	37.9 ± 1.6 (5)	1.69
Acidic	5.94	1139	1708	11.0 ± 0.2 (5)	39.2 ± 0.2 (5)	1.74
Thermal	6.07	1143	1712	12.8 ± 3.7 (5)	40.2 ± 0.1 (5)	1.78
Acidic-thermal	5.84	1233	1846	12.7 ± 0.2 (5)	40.8 ± 0.2 (5)	1.81
Thermal-acidic	5.85	1213	1816	11.3 ± 0.1 (5)	39.7 ± 1.3 (5)	1.77
Average	5.92 ± 0.09 (5)	1176 ± 44 (5)	1762 ± 64 (5)	11.8 ± 1.7 (25)	39.5 ± 1.3 (25)	1.76 ± 0.05 (5)

Values in parenthesis are the number of replicates. \* Dissolved H<sub>2</sub> was estimated through Henry's law [30, 31]

## 2.5 Physicochemical analyses

pH monitoring was performed with a portable potentiometer (Accumet™ AP115, Fisher Scientific™), with a microprobe electrode (Accumet™ 55,500–40, Cole Parmer™), using the method 4500-H<sup>+</sup> B, described by APHA [32]. TVS analyses were performed in quintuplicate, following method 2540-E, accordingly to APHA [32]. The pressure inside the bottles was measured using a digital manometer (DM8200, General Tools & Instruments™) with a range pressure of 0–6,804 atm (0–689.5 MPa). Dissolved CO<sub>2</sub> was measured through alkalinity determination by potentiometric titration [33, 34].

Mono, disaccharides, and organic fatty acids were analyzed using a Waters™ HPLC, which consisted of a pump (model 600) and an autosampler (model 717 Plus). The system was equipped with a refractive index detector (model 2414) for mono and disaccharides analyses. Organics acids were monitored from the same samples using the same equipment through a linked photodiode array detector (model 2996). A Transgenomic™ ICsep IC-ION-300 (300 mm × 7.8 mm outer diameter) column was used to separate all compounds and was operated at 35 °C. The mobile phase was 0.01 N H<sub>2</sub>SO<sub>4</sub> at 0.4 mL min<sup>-1</sup> under an isocratic flow.

Alcohols (methanol, ethanol, acetone, 2-propanol, tert-butanol, n-propanol, sec-butanol, and n-butanol) were measured on an Agilent™ 6890 gas chromatograph (GC) equipped with a flame ionization detector [35].

In total, 100-μL gas samples, obtained using a gas-tight syringe (model 1750, Hamilton™), were used for gas composition (H<sub>2</sub>, CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>) measurements with a GC (HP 6890, Hewlett Packard™) equipped with a thermal conductivity detector (TCD) and an 11 m × 3.2 mm 60/80 mesh packed column (Chromosorb™ 102, Supelco™). The column temperature was held at 50 °C for the entire run (4 min). The carrier gas was argon. The injector and detector were maintained at 125 °C and 150 °C, respectively.

## 2.6 16S rRNA gene sequencing and microbial characterization

Bacterial 16S rRNA genes (V2 region) were amplified using the set of primers 16S-F343 IonA L01 (343–357; 5' TACGGRAGGCAGCAG 3') and 16S-R533 Ion P1 (516–533; 5' ATTACCGCGGCTGCTGGC 3') [36]. A sample-specific multiplex identifier was added to each forward primer and an Ion Torrent adapter to each primer. DNA extraction was conducted [37]. DNA was then purified [38, 39]. Amplification reactions were performed in a final volume of 20 μL, which contained 1 μL of DNA, 0.5 μM of each primer, 7.5 μL of RNase free H<sub>2</sub>O and 10 μL of 2X HotStarTaq™ Plus Master Mix (HotStarTaq™ Plus Master Mix Kit, Qiagen, USA). PCR conditions were an initial denaturation of 5 min at 95 °C followed by 25

cycles of 30 s at 95 °C, 30 s at 55 °C, and 45 s at 72 °C, with a final elongation step of 10 min at 72 °C. PCR products were purified and quantified using a QIAquick™ gel extraction kit (Qiagen, USA) and a Quant-iT PicoGreen™ double-stranded DNA quantitation kit (Life Technologies Inc., USA) according to the manufacturer's instructions. The pooled amplicons were then sequenced using the Ion Torrent™ (Life Technologies Inc., USA) sequencing platform with a 314 chip. Bacterial 16S rRNA gene sequences generated were analyzed using the ribosomal database project (RDP) classifier [40], using a bootstrap confidence cutoff of 50%, as recommended by the RDP classifier [41] for short sequences (less than 250 bp). Before analysis, sequences shorter than 75 bp and sequences with unidentified bases (N) were removed.

## 2.7 Kinetics

Kinetic parameters for ethanol and butanol production were calculated using a modified Boltzmann sigmoidal model, as shown by Eq. 1. The model was modified to incorporate parameter  $r_{\max}$  as the maximum rate of the process (mg L<sup>-1</sup> d<sup>-1</sup>).

$$C(t) = C_{\max} - \frac{C_{\max}}{1 + e^{\left(\frac{4 \cdot r_{\max}(t-t_m)}{C_{\max}}\right)}} \quad (1)$$

where  $C(t)$  is the function of concentration in respect of time (mg L<sup>-1</sup>),  $t$  is time (d),  $C_{\max}$  is the maximum concentration reached (mg L<sup>-1</sup>), and  $t_m$  is the value of time when  $\frac{dC(t_m)}{dt} = r_{\max}$  (d).

From some of the calculated parameters shown in Eq. 1, it is possible to calculate the length of both lag ( $t_i$ , d) and exponential ( $t_e$ , d) phases, as depicted in Eqs. 2 and 3, respectively.

$$t_i = t_m - \frac{C_{\max}}{2 \cdot r_{\max}} \quad (2)$$

$$t_e = \frac{C_{\max}}{r_{\max}} \quad (3)$$

All fittings were performed using the software Microcal Origin Pro™ 9.0, using a Levenberg–Marquardt algorithm for fitting and initializing the equation parameters.

## 2.8 Metabolisms' Gibb's free energy

Gibb's free energy values were calculated for all pathways depicted in Fig. 1, except for pathway 1, for both initial ( $\Delta G_r^I$ ) and final ( $\Delta G_r^F$ ) conditions and were compared with the free energy for standard conditions ( $\Delta G_r^o$ ).  $\Delta G_r^o$  values

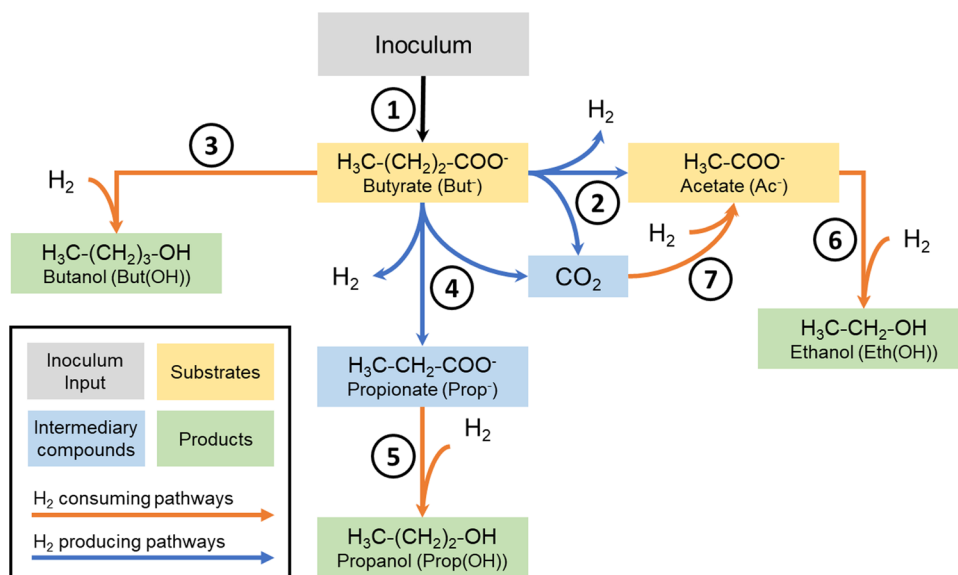
for each reaction were estimated [42, 43], considering 1 mol of each product and reagent at STP.  $\Delta G_r^I$  and  $\Delta G_r^F$  values were calculated using the Nernst equation at 30 °C and considered the concentrations of the metabolites presented in Table 4. To make all calculations possible, compounds that were not detected through the experiments, such as butanol, propionate, propanol, and ethanol, were assumed to be present, but at the same concentration of their respective lower detection limit for each methodology. This represents  $10^{-3}$  mmol L<sup>-1</sup> for volatile acids and alcohols and  $10^{-1}$  mmol L<sup>-1</sup> for CO<sub>2</sub>.

### 3 Results and discussion

#### 3.1 Data and results availability

All datasets, results, and treatments performed on the data are available [44], in form of spreadsheets and sequencing files (format.FASTQ).

**Fig. 1** Alternative metabolic model proposed for the solventogenic process using butyrate and acetate as substrates. Pathways in orange are exergonic; pathways in blue are endergonic (at 30 °C, pH of 6.0, with a constant CO<sub>2</sub> concentration of  $10^{-3}$  mmol L<sup>-1</sup>, in initial conditions as depicted in Table 3)



#### Metabolic Pathways

- |   |   |   |
|---|---|---|
| ① | Organic matter in the inoculum to butyrate  |   |
| ② | Acetogenesis from butyrate                  | $\text{But} + 4\text{H}_2\text{O} \rightarrow \text{Ac} + 2\text{CO}_2 + 6\text{H}_2$     |
| ③ | Solventogenesis from butyrate to butanol    | $\text{But} + \text{H}^+ + 2\text{H}_2 \rightarrow \text{But(OH)} + \text{H}_2\text{O}$   |
| ④ | Acidogenesis from butyrate to propionate    | $\text{But} + 2\text{H}_2\text{O} \rightarrow \text{Prop} + \text{CO}_2 + 3\text{H}_2$    |
| ⑤ | Solventogenesis from propionate to propanol | $\text{Prop} + \text{H}^+ + 2\text{H}_2 \rightarrow \text{Prop(OH)} + \text{H}_2\text{O}$ |
| ⑥ | Solventogenesis from acetate to ethanol     | $\text{Ac} + \text{H}^+ + 2\text{H}_2 \rightarrow \text{Eth(OH)} + \text{H}_2\text{O}$    |
| ⑦ | Homoacetogenesis                            | $2\text{CO}_2 + 4\text{H}_2 \rightarrow \text{Ac} + \text{H}^+ + 2\text{H}_2\text{O}$     |

#### 3.2 Metabolic model and molar balances

For all experiments, gas composition of the headspace was monitored prior to its replacement and H<sub>2</sub> pressure was constantly kept at  $2.15 \pm 0.10$  atm. H<sub>2</sub> was the sole gas detected in the headspace in all cases, except for the control experiment, which showed a methane production of 0.24 mmol (5.37 mL at standard temperature and pressure (STP)). Initial and final concentrations of the main metabolites (added or produced) and of dissolved H<sub>2</sub> and CO<sub>2</sub> are presented in Table 3. Dissolved H<sub>2</sub> was not measured but estimated through Henry's law [30, 31], and its concentration was considered constant, due to its continuous replacement. Dissolved CO<sub>2</sub> was calculated from the alkalinity value measured at the beginning of each assay. CO<sub>2</sub>, O<sub>2</sub> and N<sub>2</sub> were never detected at the headspace. Acetone, lactate, and methanol were only sporadically detected in the liquid phase, in traces concentrations (below 1 mg L<sup>-1</sup>). Neither propionate nor alcohols were detected at the beginning of each experiment.

**Table 3** Mean concentrations of all metabolites detected and of dissolved H<sub>2</sub> and CO<sub>2</sub>

Metabolite <sup>a</sup>	Concentration (mg L <sup>-1</sup> )									
	Control		Acidic		Thermal		Acidic-thermal		Thermal-acidic	
	Initial	Final	Initial	Final	Initial	Final	Initial	Final	Initial	Final
Acetate	1225 ± 1	1575 ± 40	1222 ± 3	1492 ± 24	1206 ± 2	1722 ± 120	1235 ± 3	1645 ± 44	1224 ± 2	1447 ± 26
Butyrate	1831 ± 3	1773 ± 24	1828 ± 1	1935 ± 14	1791 ± 4	1796 ± 17	1840 ± 5	1664 ± 25	1831 ± 4	1738 ± 58
Propionate	-	42 ± 12	-	35 ± 4	-	107 ± 25	-	52.8 ± 9.2	-	40.1 ± 5.4
Ethanol	-	40 ± 6	-	14 ± 2	-	104 ± 7	-	94 ± 9	-	33 ± 6
Propanol	-	-	-	0.3 ± 0.2	-	-	-	1.8 ± 0.1	-	1.0 ± 0.3
Butanol	-	49 ± 8	-	11 ± 2	-	83 ± 3	-	154 ± 14	-	61 ± 25
Dissolved H <sub>2</sub>	1.6 ± 0.1		1.7 ± 0.0		1.6 ± 0.1		1.7 ± 0.1		1.7 ± 0.1	
Dissolved CO <sub>2</sub>	3.07	-	2.40	-	3.30	-	1.56	-	2.19	-
Duration (d)	29.2		29.1		29.1		29.1		29.0	

<sup>a</sup>All values are expressed in mg L<sup>-1</sup> except for dissolved H<sub>2</sub> and CO<sub>2</sub> expressed in mmol L<sup>-1</sup>. Mean concentrations of all volatile acids and alcohols were calculated considering 5 replicates. The amount of H<sub>2</sub> was kept constant in all experiments, there were no differences in its initial and final concentrations. Dissolved H<sub>2</sub> mean concentration considered 50 replicates

**Table 4** Molar balance of all metabolites detected in all metabolic pathways of each experiment

Metabolite	Pathway <sup>a</sup>	Molar balance (mmol) <sup>b</sup>				
		Control	Acidic	Thermal	Acidic-thermal	Thermal-acidic
Butyrate	Initial*	21.0	21.0	20.6	21.1	21.0
	Final*	20.4	22.2	20.6	19.1	20.0
	<b>1</b>	<b>+3.16</b>	<b>+3.56</b>	<b>+6.92</b>	<b>+4.73</b>	<b>+1.96</b>
	2	-2.53	-1.73	-4.34	-3.92	-1.57
	3	-0.66	-0.15	-1.12	-2.08	-0.82
Butanol	4	-0.57	-0.48	-1.46	-0.75	-0.57
	3*	+0.66	+0.15	+1.12	+2.08	+0.82
Propionate	4*	+0.57	+0.48	+1.46	+0.75	+0.57
	5	-	-	-	-0.03	-0.02
Propanol	5*	-	-	-	+0.03	+0.02
Acetate	Initial*	20.7	20.7	20.4	20.9	20.7
	Final*	26.7	25.3	29.2	27.9	24.5
	2	+2.53	+1.73	+4.34	+3.92	+1.57
	6	-0.87	-0.30	-2.26	-2.04	-0.72
	7	+4.35	+3.17	+6.72	+5.08	+2.95
Ethanol	6*	+0.87	+0.30	+2.26	+2.04	+0.72
	Dissolved CO <sub>2</sub>	Initial*	3.07	2.40	3.30	1.56
2		+5.05	+3.46	+8.68	+7.85	+3.14
4		+0.57	+0.48	+1.46	+0.75	+0.57
7		-8.69	-6.34	-13.4	-10.2	-5.90

\*Values obtained experimentally (derived from initial and final concentrations as indicated in Table 3)

<sup>a</sup>Metabolic pathways described in Fig. 1

<sup>b</sup>Balance on a 1 L basis; "+" indicates a production; "-" indicates a consumption

Acetate and butyrate concentrations along the process demonstrated acetate production in all cases. Only minor variations of butyrate concentrations (slight decrease for the control and the acidic-thermal and thermal-acidic pretreatments, low production for the acidic and the thermal pretreatments) were observed. Such results suggested an

alternative organic matter input in the system. This hypothesis is consistent with the decrease of the concentration of total volatile solids (TVS) that was observed for all conditions tested, as a direct effect of the different pretreatments on the biomass, which might have resulted in partial microbial cell death. Such non-living cells constituted non-soluble

organic matter which was probably hydrolyzed and then consumed as a supplementary carbon source. Based on well-known anaerobic acidogenic and solventogenic metabolisms [1, 24], and considering the inoculum as the only possible alternative source of organic matter, an alternative metabolic model including this alternative contribution and considering the metabolites (added and produced) presented in Table 3, was developed, as shown in Fig. 1.

Figure 1 depicts butanol as the only product from lysed inoculum (pathway 1). Pathway 1 seems the only way to explain butanol production maintaining the butyric acid concentration constant since it is thermodynamically unfeasible to form butanol from acetate. Acetate could only be produced from butyrate, considering the high concentration of hydrogen and lack of CO<sub>2</sub> in all assays, indicating that acetate was produced through acetogenesis from butyrate (pathway 2) or homoacetogenesis (pathway 7) after initial dissolved CO<sub>2</sub> was consumed.

The metabolic model depicted in Fig. 1 was used to perform a molar balance of all carbon inputs and outputs during the process (Table 4) and estimates the butyrate input from the inoculum. All balances were performed on a 1 L basis and derived from initial and final experimental values obtained in all conditions (Table 3), except for the organic matter from the inoculum (pathway 1) which was expressed in butyrate equivalent.

According to the mass balance shown in Table 4, CO<sub>2</sub> was produced by converting butyrate into acetate (pathway 2) and by acidogenesis from butyrate to propionate (pathway 4). This CO<sub>2</sub> was then consumed to form acetate through a homoacetogenic pathway (pathway 7). This hypothesis was supported by the absence of gaseous CO<sub>2</sub> in all experiments, followed by an increase in acetate concentration. This CO<sub>2</sub> absence may be linked to microbial communities more adapted to convert butyrate and CO<sub>2</sub> into acetate (pathways 2 and 7, respectively) rather than to the conversion of acetate into ethanol (pathway 6). This metabolic model also indicates that butyrate was mainly consumed to produce acetate (pathway 2) in all studied conditions and the organic matter from the inoculum (pathway 1) represented an external input since butyrate concentrations were almost constant in all assays, although consumed to form butanol (pathway 3). Ethanol and butanol were produced (pathways 3 and 6) in all experiments. These pathways were more active in the experiments with thermal and acidic-thermal pretreatments, as these conditions showed the highest ethanol and butanol production among all other experiments. Although acidogenesis from butyrate to propionate (pathway 4) was active in all conditions, the conversion of propionate into propanol (pathway 5) was not an important metabolic pathway. Propanol production was not expressive in either experiment since it showed the highest concentration of 1.82 mg L<sup>-1</sup> in the acidic-thermal pretreatment essay.

The successful closure of molar balances shown in Table 4, with a stoichiometrically balanced sum of all inputs and outputs (considering the contribution of the inoculum), indicates that the metabolic model proposed in Fig. 1 accurately represents the solventogenic processes occurring in all studied conditions. An energy balance based on the mass balance depicted in Table 4 was calculated to estimate Gibb's free energy values for each pathway for both initial and final conditions (Fig. 2). Those values provide an overview of the thermodynamic feasibility of each pathway involved in the solventogenic process and tend to validate the metabolism proposed in Fig. 1.

Energetic profiles could help to anticipate changes within metabolic pathways. As shown in Fig. 2, the estimated  $\Delta G_r^0$  values indicate that acetogenesis from butyrate and acidogenesis from butyrate to propionate (pathways 2 and 4, respectively) are theoretically thermodynamically unfeasible metabolisms. Nevertheless, these pathways became thermodynamically feasible due to the absence (or low concentration) of dissolved CO<sub>2</sub> at the course of each essay. Acetogenesis from butyrate (pathway 2) was likely inhibited at the beginning of each experiment due to the concentration of H<sub>2</sub> and CO<sub>2</sub>. During the experiment, CO<sub>2</sub> was progressively consumed through homoacetogenesis (pathway 7), which rendered pathway 2 thermodynamically feasible. At low concentrations of CO<sub>2</sub> as found after the beginning of each essay, the homoacetogenic pathway might have become thermodynamically unfeasible. Gibb's free energy values found for these pathways could explain the production of acetate observed in all studied conditions, all along the process. Metabolic pathways 3 (solventogenesis from butyrate to butanol) and 6 (solventogenesis from acetate to ethanol) were thermodynamically feasible in all studied conditions all along the process, explaining the observed production of such alcohols. Metabolic pathway 4 (acidogenesis from butyrate to propionate) was also feasible during all experiments, showing that H<sub>2</sub> concentration was not enough to shut down this metabolic route.

As described previously, H<sub>2</sub> has a significant role as a co-substrate in the anaerobic process energetics [45, 46]. As shown in Fig. 3, solventogenesis of butanol from butyrate (pathway 3) is less sensitive to low  $p_{\text{H}_2}$  than solventogenesis of ethanol and propanol, respectively from acetate (pathway 6) and propionate (pathway 5). By extrapolation, equilibrium ( $\Delta G_r^0 = 0$ ) in pathway 3 would be achieved at a  $p_{\text{H}_2}$  of  $4 \cdot 10^{-4}$  atm (43.7 Pa), implying that solventogenesis of butanol could theoretically be carried out at very low  $p_{\text{H}_2}$ . It is also possible to infer from Fig. 3 that at  $p_{\text{H}_2}$  higher than 0.62 atm (62.5 MPa), acidogenesis of propionate (pathway 4) and acetogenesis of acetate (pathway 2) from butyrate should stop, shutting down the production

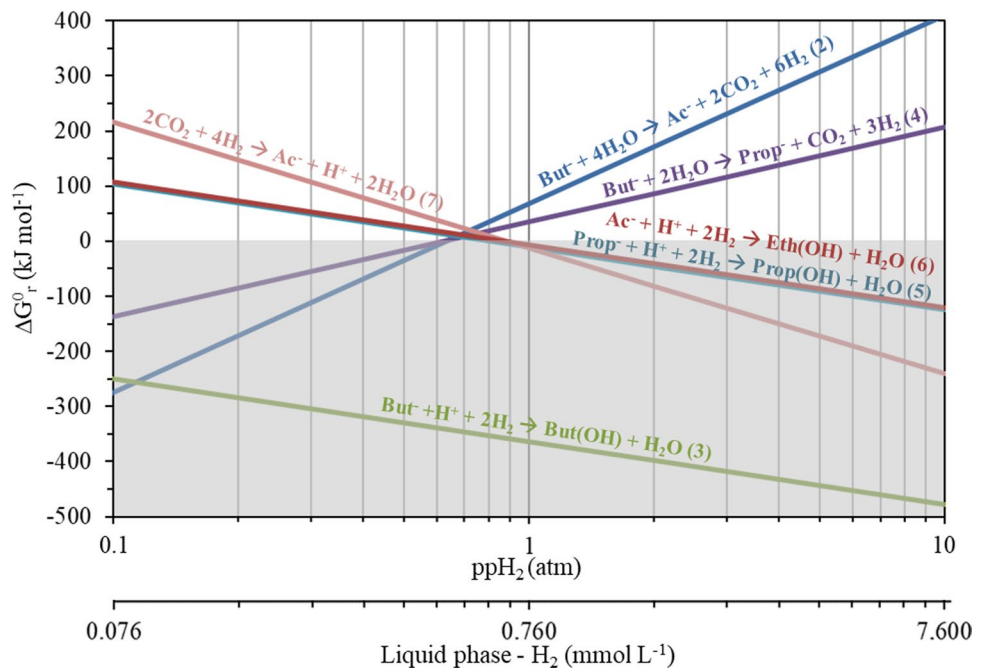
**Fig. 2** Gibbs' free energy for initial ( $\Delta G_r^I$ ), final ( $\Delta G_r^F$ ), and standard ( $\Delta G_r^o$ ) conditions for each pathway (as defined in Fig. 1) in each experiment. Greenish values ( $<0$ ) are exergonic and reddish values ( $>0$ ) are endergonic

Metabolic Pathway	Metabolism Free Energy (kJ mol <sup>-1</sup> )										
	$\Delta G_r^o$	Control		Acidic		Thermal		Acidic-thermal		Thermal-acidic	
		$\Delta G_r^I$	$\Delta G_r^F$	$\Delta G_r^I$	$\Delta G_r^F$	$\Delta G_r^I$	$\Delta G_r^F$	$\Delta G_r^I$	$\Delta G_r^F$	$\Delta G_r^I$	$\Delta G_r^F$
2	109	234	-158	221	-162	237	-156	200	-156	217	-160
3	-20,6	-291	-129	-291	-168	-290	-116	-291	-99	-291	-123
4	55,7	-129	-170	-135	-177	-127	-147	-146	-163	-138	-171
5	-24,4	-47	-205	-47	-201	-47	-228	-47	-125	-47	-135
6	-20,5	-290	-129	-290	-154	-290	-107	-291	-109	-290	-131
7	-40	-67	339	-54	337	-70	341	-33	340	-50	337

-400	Exergonic	Endergonic	400
------	-----------	------------	-----

**Fig. 3** Evolution of the standard Gibbs' free energy ( $\Delta G_r^o$ ) in the function of the partial pressure of H<sub>2</sub>. Evolution for each metabolic pathway (as defined in Fig. 1), at 30 °C, and with a standard concentration of 1.0 mol L<sup>-1</sup> for each reagent other than H<sub>2</sub>. Shaded area indicates thermodynamically feasible reactions

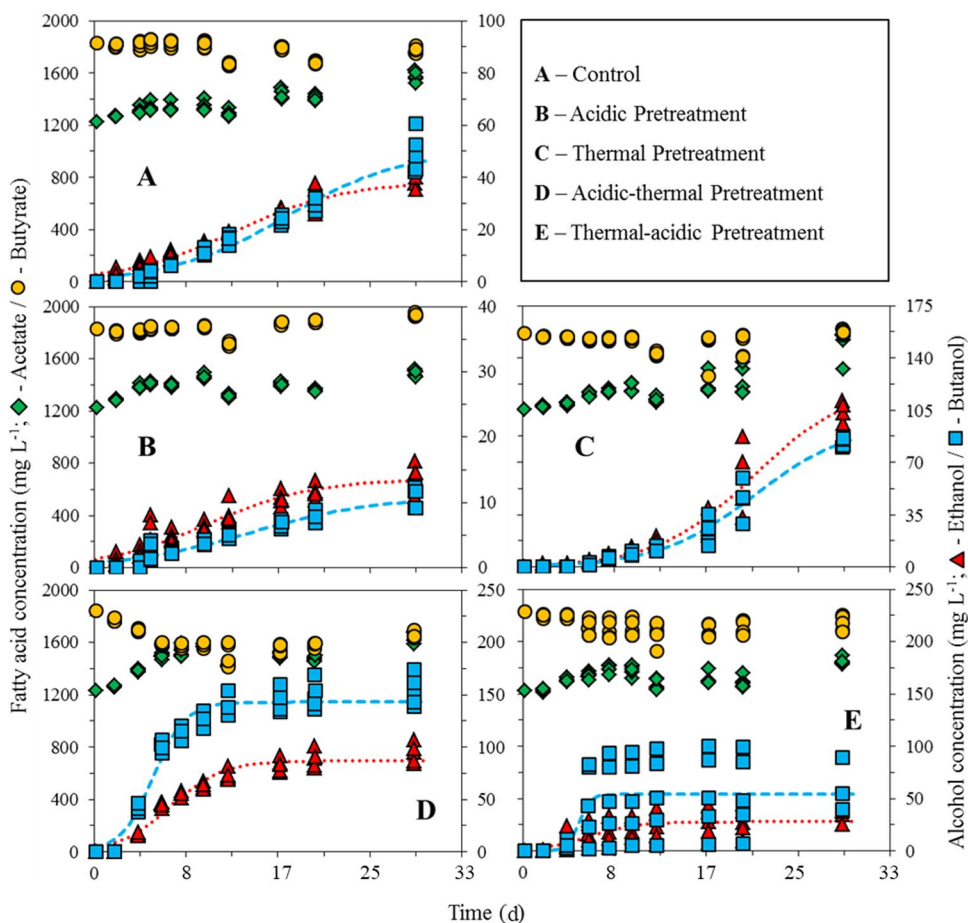


of acetate, propionate and H<sub>2</sub>. As pathways 2 and 4 were observed during the experiments even in high H<sub>2</sub> pressure, low CO<sub>2</sub> concentration might have counterbalanced high H<sub>2</sub> concentration, explaining these metabolic routes actives. The opposite effect was also found in pathway 7, which should be active during all the experiments, but it was rendered disabled at the ending of each assay. These shifts in the metabolism observed in routes 2, 4, and 7 corroborated that CO<sub>2</sub> concentration was the driving factor in the acidogenesis of butyrate. Therefore, in addition to low CO<sub>2</sub> concentrations, high pp<sub>H<sub>2</sub></sub> thus represents an important factor that renders such process thermodynamically feasible and favours solventogenesis from VFAs.

### 3.3 Alcohols and VFA metabolisms

As shown in Fig. 4, the highest concentrations of alcohols were obtained for both thermal and acidic-thermal pretreatments, with the best rate observed for the acidic-thermal pretreatment, especially for butanol production. On the opposite, the lowest alcohol production was observed for the acidic pretreatment. Acetate and butyrate concentrations along the essays confirmed the contribution of the inoculum as an important source of organic matter as the substrate for alcohol production, since in all conditions tested, acetate concentration increased, and butyrate concentration increased or only slightly decreased. These results reinforced

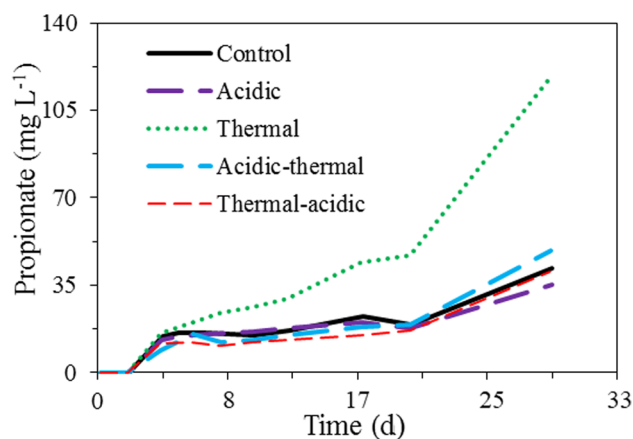
**Fig. 4** Kinetics of VFAs (acetate and butyrate) and alcohols (ethanol and butanol) productions for each studied condition. Red dotted- and blue dashed lines represent the modified Boltzmann model fittings for ethanol and butanol concentrations, respectively



the hypothesis described in Fig. 1 and Table 4 of an acetate production through acetogenesis of butyrate (pathway 2) and homoacetogenesis (pathway 7), and preferentially through pathway 2 due to low concentrations of CO<sub>2</sub> at the beginning of all assays.

As shown in Fig. 5, propionate was produced in all conditions tested. No significant difference was observed in the concentrations produced ( $42.4 \pm 10.0 \text{ mg L}^{-1}$ ), except for the thermal pretreatment essay, for which the level of production was 2.5 times higher than in all other conditions. As presented in Table 3, propionate was then consumed and converted into propanol (pathway 5, Fig. 1) for the acidic, acidic-thermal and thermal-acidic pretreatments. Despite the favourable thermodynamics of this reaction (Fig. 2), propanol was only produced in trace amounts. Oxidation of propionate into acetate was considered unlikely since such reaction is energetically unfeasible in standard conditions ( $\Delta G_r^\circ = 53.3 \text{ kJ mol}^{-1}$ ), and that, as previously described [37], at high H<sub>2</sub> concentrations  $\Delta G_r$  values are increased.

Table 5 presents the parameters of the modified Boltzmann model fitting the experimental data from Fig. 4. Those parameters are related to the kinetics of alcohol production



**Fig. 5** Behaviour of propionate concentration for each studied condition

and compare efficiencies between all pretreatments. A high correspondence was obtained between replicates for all conditions tested, apart from the thermal-acidic pretreatment. The correlation coefficient was very low in that condition, only reaching 0.5 for ethanol and 0.4 for butanol, indicating

that the process was unpredictable and could not be reproduced. Due to its instability and unpredictability, the thermal-acidic pretreatment was thus no longer considered for analyses.

As shown in Fig. 4 and Table 5, both alcohol production and maximum production rates ( $r_{max}$ ) were improved for thermal and acidic-thermal pretreatments. Although the highest ethanol production was observed for the thermal pretreatment, the best results were obtained for the acidic-thermal pretreatment, which allowed the best butanol production and an increase of 4.5 times of the ethanol and of 10.2 times of the butanol maximum production rate. In opposition, a decrease in alcohol production was observed for the acidic pretreatment. Such results clearly indicate that an acidic-thermal pretreatment of the inoculum is a promising approach for designing more efficient and smaller-sized bioreactors.

The length of the lag phase ( $t_i$ ) and duration of the bacterial exponential growth phase ( $t_e$ ) were evaluated for each pretreatment and compared to the control by considering alcohol production curves as growth-associated curves (Table 3). The length of the lag phase is related to the time required for a bioreactor to initiate its process (start-up) and achieve higher rates of alcohol production. For both ethanol and butanol production, the shortest lag phases were observed for the acidic and acidic-thermal pretreatments. In both pretreatments, the lag phase was shorter than found in the control essay. The most extended lag phase was observed for the thermal pretreatment, which was considerably greater for both ethanol and butanol production than observed in the control. Such results indicate that the thermal pretreatment had the highest impact on the inoculum, while acidic and acidic-thermal pretreatments selected microbial communities which

were the best adapted to solventogenic processes. Variations in the duration of the bacterial exponential growth phases ( $t_e$ ) were also observed (Table 5). A shorter exponential growth phase is representative of a faster process. However, this parameter must be evaluated concomitantly with the maximum rates of production ( $r_{max}$ ). For example, a short  $t_e$  occurring at low  $r_{max}$  indicates a process occurring with low efficiency. Among all the conditions tested, low  $t_e$  values and high  $r_{max}$  values were obtained for the acidic-thermal pretreatment for ethanol and butanol production. Taken all together, results obtained for all conditions tested indicate that the acidic-thermal pretreatment was the best approach to generate a rapid and efficient process.

pH was initially set to a value of  $5.92 \pm 0.09$  for all essays, and their value increased during the experiments (Fig. 6). This rise in pH values likely reflects the consumption of H<sub>2</sub> as an electron donor to form alcohols since solventogenic pathways (3, 5, and 6) require the consumption of H<sup>+</sup> and alcohols show a low ionization on water. Such a pH increase occurred to a lesser extent in all conditions, including an inoculum acidic pretreatment (acidic, acidic-thermal, and thermal-acidic pretreatments). This difference might be explained by a more drastic initial pH drop resulting from the acidic addition on these pretreatments, probably lowering the buffering capacity of the inoculum. This hypothesis is reinforced by the concentrations of dissolved CO<sub>2</sub>, which were higher for both control and thermal pretreatment than acidic and acidic-thermal pretreatments (Table 3).

A decrease in total volatile solids (TVS) was also observed for all conditions tested (Fig. 7). This decrease occurred probably due to a direct effect of the different pretreatments on the biomass, resulting in partial microbial cell

**Table 5** Parameters of the modified Boltzmann model fitting for ethanol and butanol production

Parameters	Pretreatment of the inoculum					
	Control	Acidic	Thermal	Acidic-thermal	Thermal-acidic	
Ethanol	$C_{max}$ (mg L <sup>-1</sup> )	38.5 ± 1.5	13.6 ± 0.7	122 ± 10	87.3 ± 1.9	27.6 ± 2.8
	$t_m$ (d)	12.7 ± 0.6	10.4 ± 0.8	21.0 ± 1.1	6.9 ± 0.3	6.1 ± 1.0
	$r_{max}$ (mg L <sup>-1</sup> d <sup>-1</sup> )	1.9 ± 0.0	0.7 ± 0.0	7.0 ± 0.48	9.4 ± 0.7	3.1 ± 1.2
	$R^2$	0.96	0.89	0.94	0.95	0.50
	$t_i$ (d)	2.7	0.9	12	2.3	1.6
	$t_e$ (d)	20	19	18	9.3	9.0
Butanol	$C_{max}$ (mg L <sup>-1</sup> )	50.7 ± 2.3	10.9 ± 0.5	96.7 ± 6.8	143 ± 2	53.8 ± 5.4
	$t_m$ (d)	17.6 ± 0.7	14.2 ± 0.8	21.1 ± 1.0	5.3 ± 0.2	5.1 ± 0.8
	$r_{max}$ (mg L <sup>-1</sup> d <sup>-1</sup> )	2.4 ± 0.0	0.5 ± 0.0	5.5 ± 0.5	25 ± 2	26 ± 23
	$R^2$	0.97	0.94	0.96	0.96	0.40
	$t_i$ (d)	7.0	2.9	12	2.4	4.1
	$t_e$ (d)	21	23	18	6	2

$C_{max}$ , maximum concentration;  $t_m$ , time when the maximum production rate is achieved;  $r_{max}$ , maximum production rate;  $R^2$ , correlation coefficient;  $t_i$  and  $t_e$ , initial and ending time of exponential growth phase

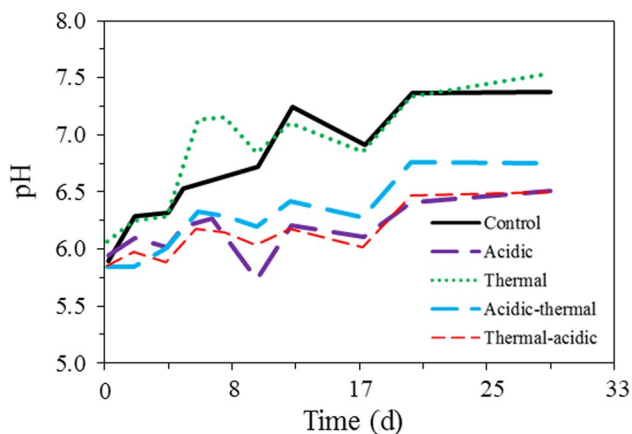


Fig. 6 Evolution of pH along the process for each studied condition

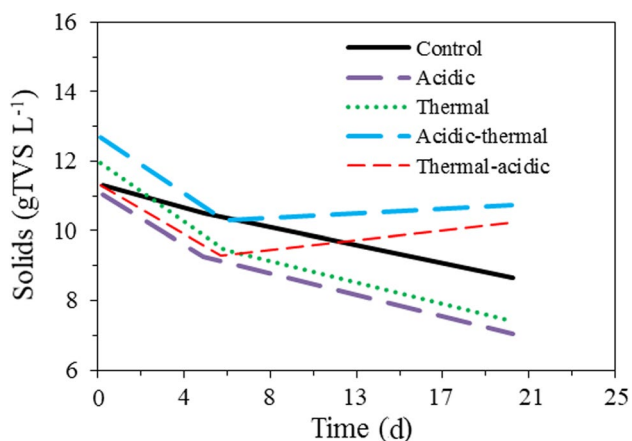


Fig. 7 Evolution of the total volatile solids (TVS) along the process for each studied condition

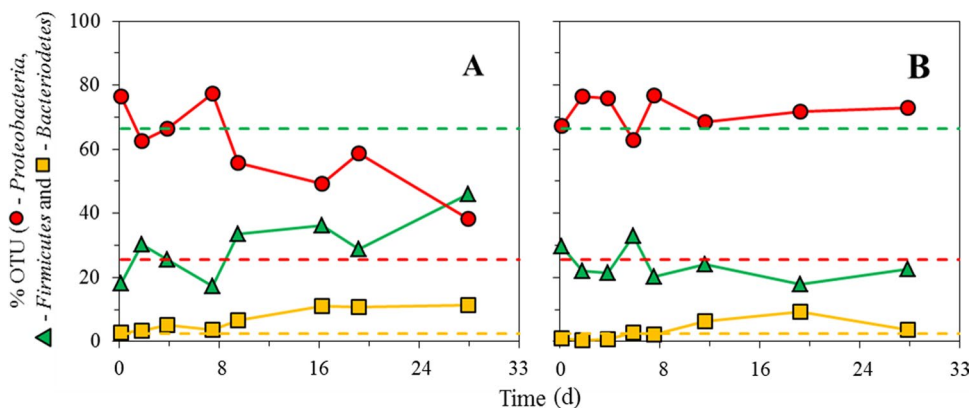
death. Such non-living cells constituted non-soluble organic matter which was probably hydrolyzed and then consumed as a carbon source, contributing to the organic matter input proposed in pathway 1 of Fig. 1. The TVS concentration

stopped decreasing and started to increase after 6.3 days in the acidic-thermal pretreatment slightly. Such evolution is probably linked to the higher values of  $r_{max}$  observed for this pretreatment (Table 5), which reflect an increase in the biomass growth's rate, and consequently, the TVS concentration.

### 3.4 Bacterial communities

Based on the results presented above and focusing on alcohol production, both thermal and acidic-thermal pretreatments experiments were submitted to microbial community analyses. Bacterial populations were thus characterized and monitored all along with those two processes. Thirteen phyla were detected, namely *Firmicutes*, *Proteobacteria*, *Bacteroidetes*, *Actinobacteria*, *Synergistetes*, *Cyanobacteria*, *Tenericutes*, *Spirochaetes*, *Deinococcus-Thermus*, *Fibrobacteres*, *Verrucomicrobia*, *Chloroflexi* and *Nitrospirae*. Among those, three (*Firmicutes*, *Proteobacteria* and *Bacteroidetes*) represented up to 94.6% of the total OTUs detected in each sample for both pretreatments (Fig. 8). *Firmicutes* was the most abundant phylum detected in the initial non-treated inoculum (corresponding to 66.6% of the detected OTUs). Both pretreatments generated a shift in the bacterial population by stimulating the development of *Proteobacteria* and strongly reducing the number of *Firmicutes*. Bacterial populations evolved differently along the process for the two pretreatments. In the thermal pretreatment experiment (Fig. 8A), a decrease of *Proteobacteria* was observed concomitantly with an increase in *Bacteroidetes* and *Firmicutes*, the latest becoming the dominant phylum at the end of the process. This shift started after 200 h of experiment, which corresponds to the early beginning of the bacterial exponential growth phase (Fig. 4C and Table 5). In opposition, in the acidic-thermal pretreatment experiment (Fig. 8B), relative stability was observed for the bacterial population, with *Proteobacteria* remaining the main phylum all along the process ( $72.0 \pm 4.99\%$  of the detected OTUs) and *Firmicutes* constantly being the second

Fig. 8 Evolution of the three most representative bacterial phyla for the thermal (A) and acidic-thermal (B) pretreatments. Dashed lines represent OTUs associated with those same phyla found in the inoculum without pretreatment





pathway related to ethanol degradation might explain better butanol production compared to ethanol, observed for the acidic-thermal pretreatment. Among the other noticeable results, two bacterial genera, namely *Acinetobacter* and *Paenibacillus*, which were not detected in the initial population, appeared to be positively affected by both pretreatments. It is being reported [48, 49] that *Acinetobacter* strains are related to alcohol consumption as they can express alcohol dehydrogenase (ADH) to convert ethanol into acetate, reverting the solventogenic pathway 6. As shown in Fig. 2, this reversed pathway probably not occurred during the essays since in all experiments, in their beginning and ending,  $\Delta G_r$  for pathway 6 were thermodynamically feasible.

Conversely, ADH is also a molecule that is strongly related to bacterial quorum sensing with a key role in biofilm formation [49, 50]. The growth of *Acinetobacter* was more stimulated in the thermal pretreatment, so this quorum sense mechanism could be linked with the best performance of this pretreatment to produce alcohols, considering that the presence of ethanol could have stimulated the expression of ADH, although the alcohol degradation metabolism was probably shut down. Acidic-thermal pretreatment preferentially stimulated the growth of *Paenibacillus*, which is directly related to alcohol production [51].

In addition to those changes, several other bacterial genera evolved differently depending on the pretreatment applied (Fig. 9). The thermal pretreatment appeared to stimulate the growth of *Brevundimonas*, *Bacteroides*, *Butyrivibrio*, *Bacillus*, *Alcaligenes*, *Eubacterium*, *Clavibacter*, *Psychrobacter*, *Serratia* and *Microbulbifer*. The latter three are even exclusively detected in this pretreatment. On the opposite, the acidic-thermal pretreatment appeared to improve the growth of *Tissierella*, *Novosphingobium*, *Sedimentibacter*, and *Yersinia*. The latter one being exclusively detected in this pretreatment.

The genus *Brevundimonas* started to increase in the thermal pretreatment after 8.3 days, coinciding with the very beginning of the exponential growth phase (Fig. 4 and Table 5). Members belonging to this genus have already been shown to possess metabolic pathways related to the acidogenesis of alcohols [52]. High  $H_2$  partial pressures applied during the process might have rendered solventogenesis thermodynamically favourable through the reverse same metabolic pathway (Fig. 3). The genus *Yersinia* started to increase in the acidic-thermal pretreatment after approximately 4.2 days, coinciding with the early exponential growth phase (Fig. 4 and Table 5). Members belonging to this genus have already been shown to possess metabolic pathways to convert pyruvate into butanol and ethanol [53]. The genus *Sedimentibacter* is one of the predominant genera found in the fermentation of Baijiu, and it could be directly related to alcohol production [54]. It is noticeable that the genus *Clostridium*, which is composed of a high number of

known alcohol producers [15, 55–57], was detected for both pretreatments all along the process, without any significant variations. The genus *Clostridium* usually produces alcohol by converting glycerol and pyruvate into ethanol and butanol. Thus, this genus probably has an essential role in producing ethanol and butanol in both thermal and acidic-thermal pretreatments. There is no reporting on alcohol-producing metabolism for all other genera found within the experiments.

Other results were observed at higher taxonomic levels, notably decreasing microorganisms from the *Peptostreptococcaceae* family and the *Epsilonproteobacteria* class, in both pretreatments. *Peptostreptococcaceae* family represented almost half (45% of total bacterial OTUs) found in the initial inoculum (before any pretreatment), strongly decreased its presence to  $5.4 \pm 2.2\%$  in thermal and  $9.2 \pm 3.1\%$  in acidic-thermal pretreatments. *Epsilonproteobacteria* class represented up to 6% of total bacterial OTUs in the initial inoculum but significantly decreased to less than 0.3% of total bacterial OTUs in both thermal and acidic-thermal pretreatments. Such results indicate that neither bacteria from the *Peptostreptococcaceae* family nor *Epsilonproteobacteria* class played a significant role in the processes studied.

## 4 Conclusions

Characterization of the initial microbial population showed the presence of bacteria possessing metabolic pathways for alcohol production. Both thermal and acidic-thermal pretreatments were able to select the best adapted bacterial communities to produce ethanol and butanol. The significant decrease of members belonging to the family *Peptostreptococcaceae* coupled to an increase of *Pseudomonas* appeared to be related to the best performance of ethanol and butanol production. The acidic-thermal pretreatment generated the best results, reaching a concentration of  $87 \text{ mg L}^{-1}$  of ethanol and of  $143 \text{ mg L}^{-1}$  of butanol after 240 h of processing. The thermal pretreatment achieved the highest ethanol production ( $122 \text{ mg L}^{-1}$ ) but at a much slower rate (after 710 h). The two other pretreatments studied showed either instability and inconsistency for the thermal-acidic pretreatment or very low alcohol production for the acidic pretreatment. Among the VFAs added to the medium, butyrate was used solely as the substrate, acetate being produced along the process. The mass balance study highlighted another substrate input, which was probably originating from the inoculum. Thermodynamic data indicated that homoacetogenesis was a critical pathway to produce acetate from dissolved  $CO_2$  and  $H_2$ . Finally,  $H_2$  partial pressure was a preponderant factor for solventogenesis, enabling alcohol production and inhibiting its consumption.

**Acknowledgements** The authors thankfully acknowledge Marie-Josée Lévesque, Christine Maynard and Sylvie Sanschagrin for their assistance with the biomolecular techniques (DNA extraction, purification and PCR) and sequencing (through Ion Torrent™); and also, Stéphane Deschamps and Alain Corriveau for their valuable contribution with physicochemical analysis (HPLC and gas chromatography of alcohols).

**Author contribution** All authors have planned the experiments. GM performed all the experiments, data treatment, statistics, and mathematical modelling. GB treated and analyzed all sequencing data. All authors have analyzed and discussed the results. GM has written the paper. All authors have read, reviewed, and approved the final manuscript. Experiments were conducted in the Montréal branch of the National Research Council of Canada from January to July of 2014.

**Funding** This work was supported by FAPESP – Fundação de Amparo a Pesquisa do Estado de São Paulo (processes 2010/18.463–9 and 2013/18.172–2 – G. Mockaitis and 2009/15.984–0 – M. Zaiat), and the NRC – National Research Council of Canada (project A1-004645 – S.R. Guiot).

**Data availability** The datasets generated during and/or analysed during the current study are openly and freely available. All data, results, and data treatment, in the format of spreadsheets and downloadable files, used are published at Mendeley Data (<https://doi.org/10.17632/wwm4zwbgrc.1>). All sequences (and their processing) related to the 16S rRNA used to identify the microbial communities are available at MG-RAST ([www.mg-rast.org/linkin.cgi?project=mgp10094](http://www.mg-rast.org/linkin.cgi?project=mgp10094)).

## Declarations

**Competing interests** The authors declare no competing interests.

## References

- Jones DT, Woods DR (1986) Acetone-butanol fermentation revisited. *Microbiol Rev* 50:484–524
- Das D, Veziroglu T (2008) Advances in biological hydrogen production processes. *Int J Hydrogen Energy* 33:6046–6057. <https://doi.org/10.1016/j.ijhydene.2008.07.098>
- Baumann I, Westermann P (2016) Microbial production of short chain fatty acids from lignocellulosic biomass: current processes and market. *BioMed Research International* 2016
- Levin D (2004) Biohydrogen production: prospects and limitations to practical application. *Int J Hydrogen Energy* 29:173–185. [https://doi.org/10.1016/S0360-3199\(03\)00094-6](https://doi.org/10.1016/S0360-3199(03)00094-6)
- Steinbusch KJJ, Hamelers HVM, Buisman CJN (2008) Alcohol production through volatile fatty acids reduction with hydrogen as electron donor by mixed cultures. *Water Res* 42:4059–4066. <https://doi.org/10.1016/j.watres.2008.05.032>
- Zverlov VV, Berezina O, Velikodvorskaya G, a Schwarz WH (2006) Bacterial acetone and butanol production by industrial fermentation in the Soviet Union: use of hydrolyzed agricultural waste for biorefinery. *Appl Microbiol Biotechnol* 71:587–597. <https://doi.org/10.1007/s00253-006-0445-z>
- Agler MT, Wrenn B, a Zinder Angenent SHLT (2011) Waste to bioproduct conversion with undefined mixed cultures: the carboxylate platform. *Trends Biotechnol* 29:70–78. <https://doi.org/10.1016/j.tibtech.2010.11.006>
- Dürre P (1998) New insights and novel developments in clostridial acetone/butanol/isopropanol fermentation. *Applied Microbiology and Biotechnology* 639–648
- Brynjarsdottir H, Wawiernia B, Orlygsson J (2012) Ethanol production from sugars and complex biomass by thermoanaerobacter AK 5: the effect of electron-scavenging systems on end-product formation. *Energy Fuels* 26:4568–4574
- Jessen JE, Orlygsson J (2012) Production of ethanol from sugars and lignocellulosic biomass by Thermoanaerobacter J1 isolated from a hot spring in Iceland. *J Biomed Biotechnol* 2012:186982. <https://doi.org/10.1155/2012/186982>
- Almarsdottir AR, Sigurbjornsdottir MA, Orlygsson J (2012) Effect of various factors on ethanol yields from lignocellulosic biomass by Thermoanaerobacterium AK<sub>17</sub>. *Biotechnol Bioeng* 109:686–694. <https://doi.org/10.1002/bit.24346>
- Crespo CF, Badshah M, Alvarez MT, Mattiasson B (2012) Ethanol production by continuous fermentation of D-(+)-cellobiose, D-(+)-xylose and sugarcane bagasse hydrolysate using the thermoanaerobe Caloramator boliviensis. *Biores Technol* 103:186–191. <https://doi.org/10.1016/j.biortech.2011.10.020>
- Xu L, Tschirner U (2011) Improved ethanol production from various carbohydrates through anaerobic thermophilic co-culture. *Biores Technol* 102:10065–10071. <https://doi.org/10.1016/j.biortech.2011.08.067>
- Mes-Hartree M, Saddler J (1982) Butanol production of Clostridium acetobutylicum grown on sugars found in hemicellulose hydrolysates. *Biotech Lett* 4:247–252
- Maddox I (1982) Production of ethanol and n-butanol from hexose/pentose mixtures using consecutive fermentations with Saccharomyces cerevisiae and Clostridium acetobutylicum. *Biotech Lett* 4:23–28
- Ounine K, Petitdemange H, Raval G, Gay R (1983) Acetone-butanol production from pentoses by Clostridium acetobutylicum. *Biotech Lett* 5:605–610
- Li Z, Shi Z, Li X (2014) Models construction for acetone-butanol-ethanol fermentations with acetate/butyrate consecutively feeding by graph theory. *Biores Technol* 159:320–326. <https://doi.org/10.1016/j.biortech.2014.02.095>
- Kumar M, Goyal Y, Sarkar A, Gayen K (2012) Comparative economic assessment of ABE fermentation based on cellulosic and non-cellulosic feedstocks. *Appl Energy* 93:193–204. <https://doi.org/10.1016/j.apenergy.2011.12.079>
- Kleerebezem R, van Loosdrecht MCM (2007) Mixed culture biotechnology for bioenergy production. *Curr Opin Biotechnol* 18:207–212. <https://doi.org/10.1016/j.copbio.2007.05.001>
- Puig S, Coma M, Monclús H et al (2008) Selection between alcohols and volatile fatty acids as external carbon sources for EBPR. *Water Res* 42:557–566. <https://doi.org/10.1016/j.watres.2007.07.050>
- O-Thong S, Prasertsan P, Birkeland N-K, (2009) Evaluation of methods for preparing hydrogen-producing seed inocula under thermophilic condition by process performance and microbial community analysis. *Biores Technol* 100:909–918. <https://doi.org/10.1016/j.biortech.2008.07.036>
- Luo G, Karakashev D, Xie L et al (2011) Long-term effect of inoculum pretreatment on fermentative hydrogen production by repeated batch cultivations: homoacetogenesis and methanogenesis as competitors to hydrogen production. *Biotechnol Bioeng* 108:1816–1827. <https://doi.org/10.1002/bit.23122>
- Pendyala B, Chaganti SR, Lalman J, a, et al (2012) Pretreating mixed anaerobic communities from different sources: correlating the hydrogen yield with hydrogenase activity and microbial diversity. *Int J Hydrogen Energy* 37:12175–12186. <https://doi.org/10.1016/j.ijhydene.2012.05.105>
- Kumar M, Gayen K, Saini S (2013) Role of extracellular cues to trigger the metabolic phase shifting from acidogenesis to solventogenesis in Clostridium acetobutylicum. *Biores Technol* 138:55–62. <https://doi.org/10.1016/j.biortech.2013.03.159>
- Angelidaki I, Petersen SP, Ahring BK (1990) Applied Microbiol . v Effects of lipids on thermophilic anaerobic digestion

- and reduction of lipid inhibition upon addition of bentonite. 469–472
26. Luo G, Xie L, Zou Z et al (2010) Evaluation of pretreatment methods on mixed inoculum for both batch and continuous thermophilic biohydrogen production from cassava stillage. *Biores Technol* 101:959–964. <https://doi.org/10.1016/j.biortech.2009.08.090>
  27. Mohan SV, Babu VL, Sarma PN (2008) Effect of various pretreatment methods on anaerobic mixed microflora to enhance biohydrogen production utilizing dairy wastewater as substrate 99:59–67. <https://doi.org/10.1016/j.biortech.2006.12.004>
  28. Dessì P, Porca E, Frunzo L et al (2018) Inoculum pretreatment differentially affects the active microbial community performing mesophilic and thermophilic dark fermentation of xylose. *Int J Hydrogen Energy* 43:9233–9245. <https://doi.org/10.1016/j.ijhydene.2018.03.117>
  29. Mockaitis G, Bruant G, Guiot SR, et al (2020) Acidic and thermal pre-treatments for anaerobic digestion inoculum to improve hydrogen and volatile fatty acid production using xylose as the substrate. *Renewable Energy* 145: <https://doi.org/10.1016/j.renene.2019.06.134>
  30. Lide DR, Frederikse HPR (1995) CRC Handbook of Chemistry and Physics, 76th edn. CRC Press Inc., Boca Raton, FL, USA
  31. Sander R (2015) Compilation of Henry's law constants (version 4.0) for water as solvent. *Atmos Chem Phys* 15:4399–4981. <https://doi.org/10.5194/acp-15-4399-2015>
  32. APHA (2005) Standard methods for the examination of water and wastewater, 21st ed. Washington DC
  33. Dilallo R, Albertson O (1961) Volatile Acids by Direct Titration. *J Water Pollut Control Fed* 33:356–365
  34. Ripley L, Boyle W, Converse J (1986) Improved alkalimetric monitoring for anaerobic digestion of high-strength wastes. *J Water Pollut Control Fed* 58:406–411
  35. Guiot SR, Cimpoia R, Carayon G (2011) Potential of wastewater-treating anaerobic granules for biomethanation of synthesis gas. *Environ Sci Technol* 45:2006–2012. <https://doi.org/10.1021/es102728m>
  36. Muyzer G, Dewaal EC, Uitterlinden AG (1993) Profiling of complex microbial populations by denaturing gradient gel electrophoresis analysis of polymerase chain reaction amplified genes coding for 16S ribosomal RNA. *Appl Environ Microbiol* 59:695–700
  37. Griffiths RI, Whiteley a S, O'Donnell a G, Bailey MJ, (2000) Rapid method for coextraction of DNA and RNA from natural environments for analysis of ribosomal DNA- and rRNA-based microbial community composition. *Appl Environ Microbiol* 66:5488–5491
  38. Lévesque MJ, La Boissière S, Thomas JC et al (1997) Rapid method for detecting *Desulfotobacterium frappieri* strain PCP-1 in soil by the polymerase chain reaction. *Appl Microbiol Biotechnol* 47:719–725
  39. Berthelet M, Whyte LG, Greer CW (1996) Rapid, direct extraction of DNA from soils for PCR analysis using polyvinylpyrrolidone spin columns. *FEMS Microbiol Lett* 138:17–22
  40. Wang Q, Garrity GM, Tiedje JM, Cole JR (2007) Naive Bayesian classifier for rapid assignment of rRNA sequences into the new bacterial taxonomy. *Appl Environ Microbiol* 73:5261–5267. <https://doi.org/10.1128/AEM.00062-07>
  41. Claesson MJ, O'Sullivan O, Wang Q et al (2009) Comparative analysis of pyrosequencing and a phylogenetic microarray for exploring microbial community structures in the human distal intestine. *PLoS ONE* 4:e6669. <https://doi.org/10.1371/journal.pone.0006669>
  42. Mavrovouniotis ML (1990) Group contributions for estimating standard gibbs energies of formation of biochemical compounds in aqueous solution. *Biotechnol Bioeng* 36:1070–1082. <https://doi.org/10.1002/bit.260361013>
  43. Mavrovouniotis ML (1991) Errata Group Contributions for Estimating Standard Gibbs Energies of Formation of Biochemical Compounds in Aqueous Solution 38:803–804
  44. Mockaitis G, Bruant G (2021) Use of hydrogen as electron donor to solventogenesis of volatile organic acids. In: Mendeley Data. <https://www.doi.org/10.17632/wmw4zwbgrc>
  45. Harper SR, Pohland FG (1985) Recent Developments In Hydrogen Management During Anaerobic Biological Wastewater Treatment. *Biotechnol Bioeng* 28:585–602
  46. Speece RE (1996) Anaerobic biotechnology for industrial wastewater. Vanderbilt University
  47. Caspi R, Billington R, Fulcher CA et al (2018) The MetaCyc database of metabolic pathways and enzymes. *Nucleic Acids Res* 46:D633–D639. <https://doi.org/10.1093/nar/gkx935>
  48. John J, Saranathan R, Adigopula LN et al (2016) The quorum sensing molecule N-acyl homoserine lactone produced by *Acinetobacter baumannii* displays antibacterial and anticancer properties. *Biofouling* 32:1029–1047. <https://doi.org/10.1080/08927014.2016.1221946>
  49. Zhang K, Yang X, Yang J et al (2020) Alcohol dehydrogenase modulates quorum sensing in biofilm formations of *Acinetobacter baumannii*. *Microb Pathog* 148:104451. <https://doi.org/10.1016/j.micpath.2020.104451>
  50. Subhadra B, Hwan OhM, Hee Choi C (2016) Quorum sensing in *Acinetobacter*: with special emphasis on antibiotic resistance, biofilm formation and quorum quenching. *AIMS Microbiology* 2:27–41. <https://doi.org/10.3934/microbiol.2016.1.27>
  51. Grady EN, MacDonald J, Liu L et al (2016) Current knowledge and perspectives of *Paenibacillus*: A review. *Microb Cell Fact* 15:203
  52. Leifson E, Hugh R (1954) A new type of polar monotrichous flagellation. *J Gen Microbiol* 10:68–70. <https://doi.org/10.1099/00221287-10-1-68>
  53. Caspi R, Altman T, Dale JM et al (2010) The MetaCyc database of metabolic pathways and enzymes and the BioCyc collection of pathway/genome databases. *Nucleic Acids Res* 38:D473–D479. <https://doi.org/10.1093/nar/gkp875>
  54. Xu J, Sun L, Xing X et al (2020) Culturing bacteria from fermentation pit muds of Baijiu with culturomics and amplicon-based metagenomic approaches. *Front Microbiol* 11:1223. <https://doi.org/10.3389/fmicb.2020.01223>
  55. Tracy BP, Jones SW, Fast AG et al (2012) Clostridia: the importance of their exceptional substrate and metabolite diversity for biofuel and biorefinery applications. *Curr Opin Biotechnol* 23:364–381. <https://doi.org/10.1016/j.copbio.2011.10.008>
  56. Ueki A, Hirono T, Sato E et al (1991) Ethanol and amylase production by a newly isolated *Clostridium* sp. *World Journal of Microbiology* 7:385–393
  57. Bruant G, Lévesque M-J, Peter C et al (2010) Genomic analysis of carbon monoxide utilization and butanol production by *Clostridium carboxidivorans* strain P7. *PLoS ONE* 5:e13033. <https://doi.org/10.1371/journal.pone.0013033>

**Publisher's note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.