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Non-target analysis and stability assessment of reference materials using liquid chromatography–high-resolution mass spectrometry

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1 **Non-Target Analysis and Stability Assessment of Reference Materials Using Liquid**
2 **Chromatography–High-Resolution Mass Spectrometry**

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40 **Abstract**

41
42 Development and characterization of biological and environmental matrix certified reference
43 materials (CRMs) for organic analytes typically relies heavily on targeted analytical methods, such
44 as liquid chromatography (LC) with triple-quadrupole mass spectrometry detection. LC with high-
45 resolution mass spectrometry (LC–HRMS) can also provide high quality data for both targeted
46 and non-targeted analytes, with the potential for retrospective data analysis. Here, we demonstrate
47 the utility of non-target analysis (NTA) using LC–HRMS for profiling and stability assessment of
48 a mussel tissue matrix CRM certified for several classes of marine algal toxins (CRM-FDMT1).
49 First, the NTA method was developed using data-dependent MS/MS acquisition and commercial
50 metabolomics software for data processing. Of 128 toxin analogues previously reported in CRM-
51 FDMT1, 125 were detected by LC-HRMS, with 97 triggered for MS/MS by data dependant
52 acquisition. Automated data processing detected 119 of these compounds and 109 were retained
53 after automated filtering of results for putative toxin analogues. Those analogues not detected were
54 low abundance ions, or poorly resolved isomers. The method was then used to demonstrate new
55 strategies for CRM stability assessment considering the stability of certified analytes, related toxin
56 analogues, and unrelated matrix compounds. Several analogues from each toxin class in CRM-
57 FDMT1 as well as other unrelated matrix compounds were observed to be significantly less stable
58 than the certified toxins. Using this method, no instability was measured for any compounds at
59 conditions ≤ 4 °C, providing a greater degree of confidence in CRM stability than could be achieved
60 using conventional targeted approaches to stability assessment targeting only the certified analytes.
61 The NTA method and stability assessment approach presented are applicable to future CRM
62 development with other matrices and organic analyte classes.

63 **Keywords**

64 Metrology, Phycotoxin, Algal Toxin, Stability, Data-Dependent Analysis, Compound Discoverer

65 **1 Introduction**

66
67 Matrix certified reference materials (CRMs) for organic chemical analytes are required for
68 development and validation of analytical methods across many life science disciplines and demand
69 for these materials continues to grow as the broad scope of analytical testing evolves [1,2].
70 Considerable resources are required to produce matrix CRMs, including experiments for
71 characterization, homogeneity and stability testing, which require high quality analytical methods.
72 Targeted methods such as LC with triple-quadrupole tandem mass spectrometry using selected
73 reaction monitoring (SRM) or spectroscopic detection are most often used during the various
74 stages of matrix CRM development to achieve the high selectivity and repeatability required for
75 metrological quantitation of trace analytes in complex matrices [3-6].

76 Liquid chromatography with high resolution mass spectrometry (LC–HRMS) enables
77 selective detection of a broad range of ionizable species in a single run, with data sets amenable to
78 retrospective analysis [7]. Detailed comparisons of quantitative performance of LC–HRMS and
79 low resolution SRM have been reported [8,9], indicating that full-scan LC–HRMS provides
80 quantitative data of equivalent quality for all but lowest abundance ions. Different modes of LC–
81 HRMS data acquisition are available that combine full-scan and tandem mass spectrometry, such
82 as data-dependant acquisition (DDA), data-independent acquisition (DIA), and related approaches
83 [10]. These techniques can be used to confirm and quantitate the presence of targeted analytes,
84 while providing data on many additional compounds present in samples being analysed without
85 prior knowledge of their identity.

86 A significant challenge to the use of LC–HRMS is handling and interpreting the large data
87 sets generated. While data analysis for known or expected compounds can be relatively
88 straightforward, analysis in a less targeted fashion (e.g. identification of previously unknown

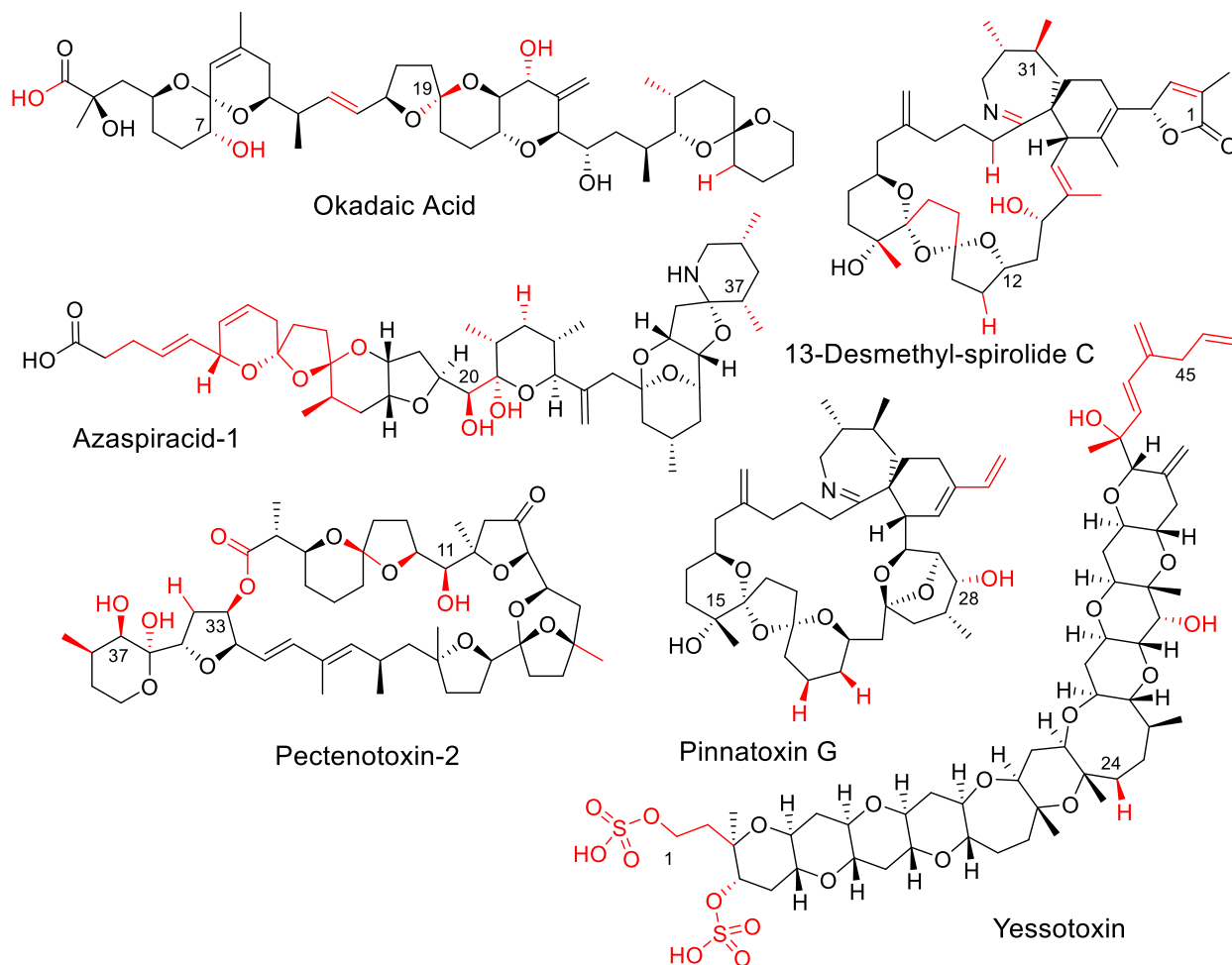
89 compounds of interest) is more challenging. To address this, commercially available, open-source,
90 or in-house data processing software tools have been developed to automate many steps of data
91 analysis and aid in data interpretation [11-16]. These software applications are used for peak
92 detection and simplifying full-scan data into a list of detected compounds with associated
93 information (e.g. predicted molecular formula, retention time, intensity, isotopes and adducts
94 detected). Data analysis functions used to detect and identify compounds of interest may include
95 automated database searching, finding compounds yielding diagnostic product ions in MS/MS
96 spectra [17,18], or chemometric assessment [19]. Due to the complexity and scope of non-targeted
97 LC–HRMS data sets, it can be a significant challenge to optimize and validate acquisition and
98 processing methods.

99 Several CRMs have been produced for algal biotoxins in mussel tissues matrices, which
100 have been developed primarily using targeted LC–MS/MS methods [5,6,20]. Methods using LC–
101 HRMS for algal biotoxins and other analytes in shellfish matrices have been reported for screening
102 and routine quantitation [17,21-24], but to date LC–HRMS has not been used extensively during
103 CRM development. Recently, a freeze-dried mussel tissue CRM (CRM-FDMT1) containing
104 certified analytes from several marine algal toxin classes (Fig. 1) including azaspiracids (AZAs),
105 okadaic acid group toxins (OA/DTXs), pectenotoxins (PTXs), yessotoxins (YTXs) and cyclic
106 imines was profiled using a variety of HRMS acquisition modes with laborious manual data
107 interpretation, revealing over 128 toxin analogues [25].

108 Here, CRM-FDMT1 was used to develop and evaluate an LC–HRMS/MS non-target
109 analysis (NTA) approach for toxin profiling using commercial metabolomics software. Method
110 performance was assessed by comparing the number of toxin analogues detected in comparison to
111 the previously reported manual profiling results [25]. The NTA methodology was then applied to

112 stability study samples to explore the concept of non-targeted LC–HRMS stability testing,
113 evaluating data for certified toxins, related toxin analogues, and unrelated matrix compounds.

114



115

116 **Fig. 1.** Representative algal toxins from classes analyzed as part of this study, with some of the
117 known sites of structural variation highlighted in red, including sites of reported conjugation,
118 oxidation, reduction, substitution, or changes in stereochemistry, to demonstrate the diversity
119 within each toxin class.

120

121 **2 Materials and Methods**

122

123 2.1 *Reagents*

124

125 Methanol and acetonitrile (Optima LC–MS) were obtained from Fisher Scientific (Whitby, ON,
126 Canada). Formic acid (98 %) and ammonium formate (LC–MS grade) were from Honeywell–
127 Fluka (Oakville, ON, Canada). Deionized water was obtained from a Milli-Q Reference A+ water
128 purification system (Millipore Corp., Billerica, MA, USA). Algal toxin CRMs including CRM-
129 FDMT1, CRM-AZA1, CRM-AZA2, CRM-AZA3, CRM-OA-b, CRM-DTX1, CRM-DTX2,
130 CRM-PTX2, CRM-SPX1, CRM-PnTX-G, and CRM-YTX were obtained from the National
131 Research Council (NRC, Halifax, NS, Canada).

132

133 2.2 *Sample Preparation*

134

135 For toxin profiling, a concentrated FDMT1 extract was prepared by solid phase extraction as
136 previously described [25]. Briefly, 1 g of dry tissue was reconstituted with 5 mL water and
137 extracted twice with 20 mL methanol. The extract was evaporated to 2.5 mL and then made up to
138 5 mL with 40 % methanol. This was loaded on a preconditioned 1 g Oasis HLB solid phase
139 extraction cartridge (Waters, Mississauga, Canada), eluted with 10 mL 90 % methanol followed
140 by 20 mL of 100 % methanol and evaporated under nitrogen at ambient temperature before being
141 reconstituted in 1 mL methanol.

142 For stability assessment, a subsample (0.35 g) was taken from single unopened bottles of
143 CRM-FDMT1 that had been stored at –20 °C since previous isochronous stability studies. Stability
144 study conditions were –20, 4, 18, and 60 °C for 0, 2, 8, 16, and 30 days (short-term study) and
145 –20, 4, and 18 °C for 0, 2, 3, 6, 9, and 12 months (long-term study), with samples held at –80 °C
146 until analysis used as the reference condition [20]. Subsamples were extracted using a 4-step

147 methanol extraction (total volume 25 mL), as described previously [26]. A pooled quality control
148 sample was prepared by mixing equal volumes of extract from the reference condition and the
149 final time point of each test condition. All samples were filtered using 0.45 μm PVDF centrifugal
150 filters (Merck Millipore, Oakville, Canada) prior to analysis.

151

152 2.3 LC–HRMS Analysis

153

154 LC separations were performed on an Agilent 1200 LC system (Agilent, Palo Alto, CA, USA),
155 with an Agilent Poroshell 120 SB-C18 column (2.1×150 mm, $2.7 \mu\text{m}$) held at 40°C . A binary
156 mobile phase of 50 mM formic acid and 2 mM ammonium formate in water (A) and 95 %
157 acetonitrile (B) was used at a flow rate of $0.275 \text{ mL min}^{-1}$ with a linear gradient from 5 % to 100
158 % B over 20 min and then held at 100 % B while the flow rate was increased linearly over 7 min
159 to 0.6 mL min^{-1} and held for 11 min before returning to $0.275 \text{ mL min}^{-1}$ over 1 min and re-
160 equilibrating for 5 min. Mobile phase was diverted to waste for the first 6 min of the method and
161 during re-equilibration.

162 The LC was coupled to a Q Exactive HF mass spectrometer with a heated electrospray
163 ionization probe (Thermo Fisher Scientific, Waltham, MA, USA) using a spray voltage of 3.0 kV
164 (ESI^+) or -2.7 kV (ESI^-), capillary temperature of 350°C , sheath gas of 40, auxiliary gas of 15
165 (arbitrary units), auxiliary gas heat of 300°C , and an S-Lens RF level of 90.

166 Profiling was performed using separate DDA methods for ESI^+ and ESI^- . Full-scan
167 resolution was set to 60,000 with a mass range of m/z 450–1450, an automatic gain control (AGC)
168 target of 3×10^6 and a maximum ion injection time (maxIT) of 200 ms. Product-ion spectra were
169 acquired using the 15,000 resolution setting on the most abundant ions detected in each full scan
170 spectrum (Top 10 in ESI^+ and Top 5 in ESI^-), with a $0.7 m/z$ precursor-ion isolation window and

171 apex triggering from 3–30 s with isotope exclusion turned on and dynamic exclusion for 3.5 s. In
172 ESI⁺ a 2×10^5 AGC target was used with a maxIT of 19 ms, a DDA intensity threshold of $1.1 \times$
173 10^5 and a collision energy spread using 35 and 60 eV. In ESI⁻ an AGC target of 2×10^5 was used
174 with a maxIT of 100 ms, an intensity threshold of 8×10^4 , and a normalized collision energy of
175 -35 eV. Exclusion lists were prepared at the beginning of each sequence by exporting the most
176 intense 50 ions in every 2 min interval across the chromatographic run of a 5 μ L methanol injection
177 and were used with a 3 ppm mass tolerance in all DDA runs.

178 Analysis of stability samples used a full-scan method with polarity switching at the 60,000
179 resolution setting with a mass range of m/z 200–1400, an AGC target of 3×10^6 and a maxIT of
180 200 ms. All stability samples were injected (1 μ L) in triplicate using a randomized order, with QC
181 samples injected at the beginning and end of the sequence, and approximately every 10 samples.

182 183 2.4 Data Processing

184 Compound Discoverer 3.0 (Thermo Scientific) was used to automate data processing. A
185 schematic of the data analysis workflow (Fig. S1) and a detailed table of settings (Table S1) are
186 given in the supporting information. Data processing for ESI⁺ and ESI⁻ were performed separately.
187 Thresholds for peak detection included a minimum of six data points per peak, a minimum of three
188 detected isotopes and minimum intensities of 5×10^4 (ESI⁺) or 2×10^3 (ESI⁻) counts. Ion
189 definitions specified for data reduction were based on expected adducts and in-source fragments
190 of marine toxin classes (Table 1).
191

192 **Table 1.** Characteristic precursor and product ions from each class of lipophilic toxins represented
 193 in CRM-FDMT1 for use in data processing.

Toxin Class Precursor Ions	Neutral Losses Monoisotopic Mass (Da)	Product Ion Formulae	<i>m/z</i>	Product Ion Formulae (cont'd)	<i>m/z</i>
Azaspiracids [M+H] ⁺ [M+H-H ₂ O] ⁺ [M+Na] ⁺ [M+K] ⁺	[M+H-nH ₂ O] ⁺ 18.0106, 36.0211, 54.0318, 72.0423 [M+H-CO ₂ -nH ₂ O] ⁺ 43.9898, 62.0004, 80.0110	C ₉ H ₁₆ NO ⁺ C ₁₀ H ₁₆ NO ⁺ C ₁₀ H ₁₈ NO ⁺ C ₁₄ H ₂₂ NO ⁺ C ₁₅ H ₂₂ NO ₂ ⁺ C ₁₆ H ₂₂ NO ₂ ⁺ C ₁₆ H ₂₄ NO ₂ ⁺	154.1226 166.1226 168.1383 220.1696 248.1645 260.1645 262.1802	C ₂₁ H ₃₂ NO ₂ ⁺ C ₂₂ H ₃₄ NO ₂ ⁺ C ₂₁ H ₃₄ NO ₃ ⁺ C ₂₂ H ₃₄ NO ₃ ⁺ C ₂₂ H ₃₆ NO ₃ ⁺ C ₂₂ H ₃₄ NO ₅ ⁺	330.2428 344.2584 348.2533 360.2533 362.2690 392.2431
Spirolides & Pinnatoxins [M+H] ⁺ [M+Na] ⁺	[M+H-nH ₂ O] ⁺ 18.0106 36.0211 54.0318 72.0423	C ₁₀ H ₁₆ N ⁺ C ₁₁ H ₁₈ N ⁺ C ₁₂ H ₁₉ N ⁺ C ₁₁ H ₁₆ NO ⁺ C ₁₁ H ₁₈ NO ⁺ C ₁₄ H ₂₄ N ⁺ C ₁₅ H ₂₆ N ⁺ C ₁₄ H ₂₄ NO ⁺ C ₁₅ H ₂₆ NO ⁺	150.1277 164.1434 177.1512 178.1223 180.1383 206.1903 220.2060 222.1852 236.2009	C ₂₃ H ₃₈ NO ₄ ⁺ C ₂₅ H ₄₀ NO ₃ ⁺ C ₂₆ H ₄₀ NO ₄ ⁺ C ₂₆ H ₃₈ NO ₅ ⁺ C ₂₇ H ₄₂ NO ₄ ⁺ C ₂₇ H ₄₄ NO ₄ ⁺ C ₂₈ H ₄₄ NO ₄ ⁺ C ₂₆ H ₄₂ NO ₆ ⁺ C ₂₇ H ₄₄ NO ₆ ⁺	392.2795 402.3003 430.2952 444.2745 444.3108 446.3265 458.3265 464.3007 478.3163
Pectenotoxins [M+NH ₄] ⁺ [M+Na] ⁺ [M+K] ⁺ [M+NH ₄ -SO ₃] ⁺ [M-H] ⁻	[M+H-NH ₃ -nH ₂ O] ⁺ 35.0371 53.0477 71.0582 89.0688 107.0794 [M-C ₁₆ H ₃₂ O ₂] ⁻ 256.2402	C ₁₁ H ₁₃ O ₂ ⁺ C ₁₁ H ₁₅ O ₃ ⁺ C ₁₁ H ₁₇ O ₄ ⁺ C ₁₇ H ₂₁ O ₂ ⁺ C ₁₇ H ₂₁ O ₃ ⁺ C ₁₇ H ₂₃ O ₃ ⁺ C ₁₇ H ₂₃ O ₄ ⁺ C ₂₉ H ₄₃ O ₁₀ ⁺ C ₂₉ H ₄₃ O ₁₁ ⁺	177.0910 195.1016 213.1121 257.1536 273.1485 275.1642 291.1591 551.2851 567.2800	C ₄₇ H ₆₇ O ₁₂ ⁺ C ₈ H ₉ O ₂ ⁻ C ₈ H ₁₁ O ₃ ⁻ C ₁₉ H ₂₇ O ₇ ⁻ C ₂₉ H ₄₁ O ₉ S ⁻ C ₄₇ H ₆₇ O ₁₃ ⁻ C ₄₇ H ₆₉ O ₁₄ ⁻ C ₄₆ H ₆₇ O ₁₆ S ⁻	823.4627 137.0608 155.0714 367.1762 565.2477 839.4587 857.4693 907.4155
Okadaic Acid & Dinophysistoxins [M+H] ⁺ [M+H-nH ₂ O] ⁺ ^a [M+Na] ⁺ [2M+Na] ⁺ [M+K] ⁺ [2M+K] ⁺ [M-H] ⁻	[M-H-C ₁₆ H ₃₂ O ₂] ⁻ 256.2402	C ₆ H ₉ O ₂ ⁺ C ₁₄ H ₂₃ O ₂ ⁺ C ₁₄ H ₁₉ O ₅ ⁺ C ₆ H ₉ O ₂ ⁻ C ₇ H ₉ O ₂ ⁻ C ₉ H ₁₁ O ₂ ⁻ C ₁₃ H ₁₉ O ₄ ⁻ C ₁₃ H ₁₉ O ₅ ⁻	169.1223 223.1693 267.1227 113.0608 125.0608 151.0765 239.1289 255.1238	C ₁₈ H ₂₉ O ₃ ⁻ C ₁₉ H ₃₁ O ₃ ⁻ C ₁₉ H ₂₉ O ₄ ⁻ C ₂₀ H ₃₁ O ₄ ⁻ C ₃₀ H ₄₁ O ₉ ⁻ C ₃₀ H ₄₃ O ₁₀ ⁻ C ₄₄ H ₆₆ O ₁₂ ⁻ C ₄₅ H ₆₈ O ₁₂ ⁻	293.2122 307.2279 321.2071 335.2228 545.2756 563.2862 785.4482 799.4638
Yessotoxins [M-H] ⁻ [M-2H] ²⁻ [M-SO ₃ -H] ⁻	[M-H-SO ₃] ⁻ 79.9568	C ₅ H ₉ O ₅ S ⁻ C ₈ H ₁₃ O ₆ S ⁻ C ₃₅ H ₅₃ O ₁₃ S ⁻ C ₃₅ H ₅₃ O ₁₄ S ⁻ C ₄₂ H ₆₃ O ₁₆ S ⁻	181.0171 237.0432 713.3212 729.3162 855.3842	C ₄₂ H ₆₃ O ₁₇ S ⁻ C ₄₆ H ₆₉ O ₁₇ S ⁻ C ₄₆ H ₆₉ O ₁₈ S ⁻	871.3791 925.4261 941.4210

194 ^a n=1-3

195 Data analysis functions included mass-list and database searching as well as detection of
196 class-specific product ions. Mass-list searching was performed using a list of m/z values from
197 published lists [22,27] using a tolerance of ± 5 ppm. Product ion spectra were scored against the
198 Natural Toxins spectral library of collision-induced dissociation spectra from the mzCloud
199 database (www.mzcloud.org) and the detection of characteristic product ions from each toxin class
200 (Table 1) were tabulated in Compound Discoverer using the Compound Class Scoring node and a
201 custom neutral loss node (provided by Robert L. Ross and Patrick A. Limbach of the University
202 of Cincinnati). In order to limit the resulting compound tables to a list of potential toxin analogues,
203 results were filtered for compounds with molecular weight above 500 Da and having a compound
204 class coverage score > 0 , a mass-list match, or an mzCloud match.

205 For stability study data analysis, QC samples were analyzed to determine the extent of
206 retention time variability, and verify consistent mass accuracy throughout the analysis. The results
207 table was filtered to display only compounds detected in 100 % of the quality control samples with
208 a relative standard deviation for peak area ≤ 30 %. Statistical analysis included generating ratios of
209 the average of peak areas from triplicate injections for each compound from each temperature
210 condition to that of the reference condition. Manual processing of stability sample data was done
211 using Xcalibur 4.0 to integrate peaks for certified analytes in CRM-FDMT1, and pinnatoxin G
212 (PnTX-G) using 5 ppm mass windows. Long-term stability uncertainty (u_{LTS}) was calculated for
213 PnTX-G using the approach reported previously for CRM-FDMT1 [20]. Profiling samples were
214 included in Compound Discoverer profiling as “identification only” to allow MS/MS spectra to be
215 appended to entries in the compound table without otherwise impacting the data otherwise.

216

217

218 3 Results and Discussion

219

220 Development of the overall LC–HRMS NTA approach first required optimization and
221 verification of the data acquisition method before the automated data processing method could be
222 developed. The performance of acquisition and processing methods were then critically evaluated
223 against the complex profile of toxin analogues previously reported in CRM-FDMT1 to ensure peak
224 detection and analysis were optimal in the data analysis workflow. The developed methodology
225 was then applied to stability assessment of CRM-FDMT1 using all available data from both
226 certified and non-certified toxin analogues, as well as matrix components.

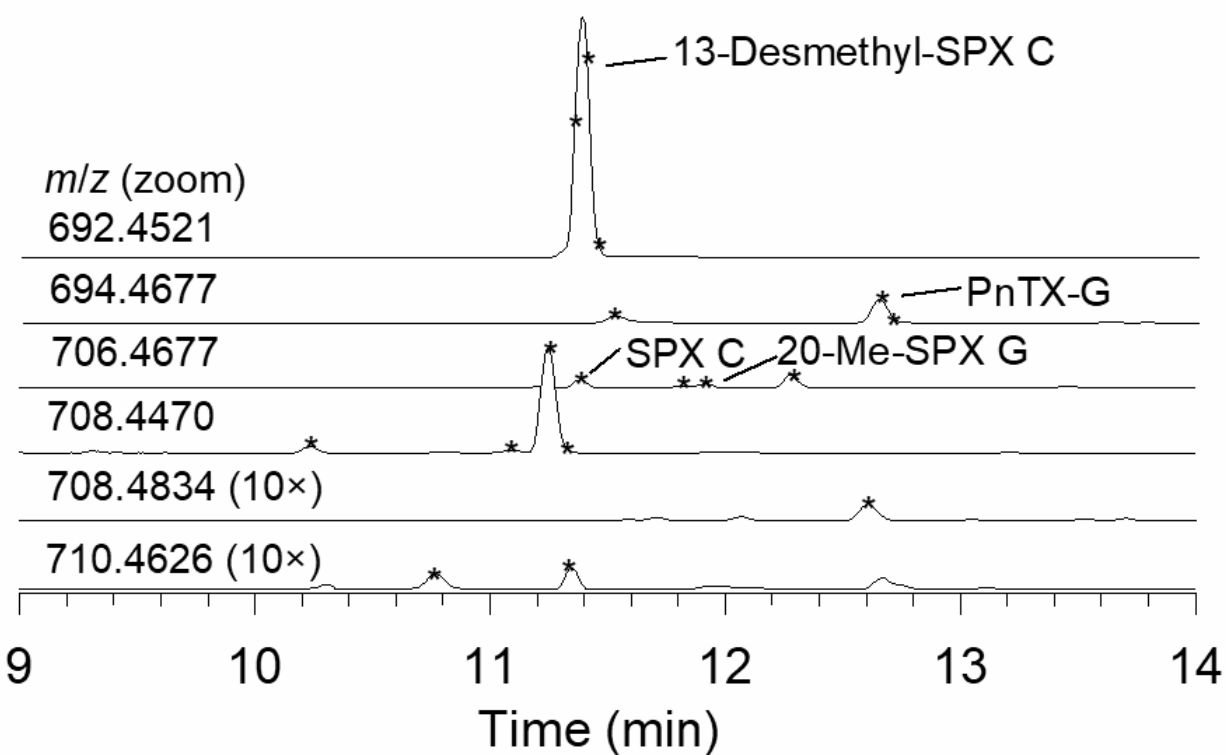
227

228 3.1 LC–HRMS/MS Data Acquisition Method Development

229 The concentrated SPE sample used for CRM profiling contained toxin analogues ranging in
230 polarity and concentration (low ng mL⁻¹ to low µg mL⁻¹) and included several groups of isomers
231 varying in chromatographic resolution. To improve the LC separation of this complex mixture, a
232 long LC gradient with an extended isocratic hold at high organic was used, ensuring complete
233 elution of the least polar analytes. The long analysis time served to reduce the number of co-eluting
234 chemical species, which in turn decreased the demand for MS/MS coverage depth at any given
235 time in the chromatogram.

236 The use of an SPE concentrated sample for profiling allowed high quality MS/MS spectra
237 to be collected for toxin analogues that wouldn't be otherwise concentrated enough to afford
238 informative spectra in non-concentrated samples, but could still be detected in full-scan. Using
239 low abundance toxin ions to optimize instrument parameters for MS² acquisition allowed detection
240 of characteristic product ions (Table 1) in as many toxin analogues as possible. During this
241 optimization it was evident that negative MS/MS acquisition required a longer maxIT than positive

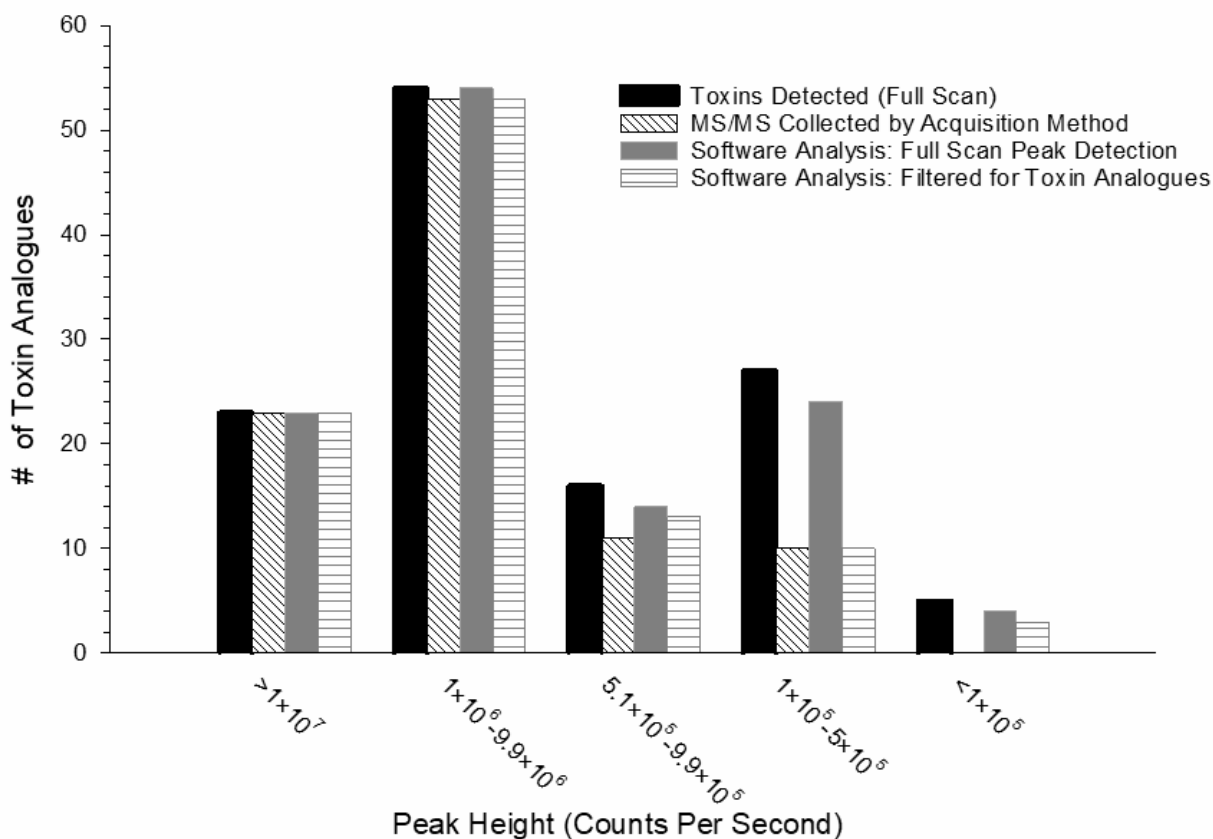
242 MS/MS to obtain sufficient spectral quality to yield characteristic product ions, hence a Top 5
243 negative polarity experiment was chosen with a 100 ms fill time, while the positive method used
244 Top 10 acquisition with a much shorter, 19 ms, maxIT. Apex trigger and dynamic exclusion
245 settings were optimized to minimize oversampling of abundant precursor ions in DDA, while
246 avoiding long exclusion times detrimental to data acquisition for closely eluting isomers. An
247 example of MS/MS triggering of closely eluting cyclic imines, a class that gave narrow
248 chromatographic peaks, is shown in Fig 2.



249 **Fig. 2.** Full-scan extracted ion chromatograms of selected cyclic imine analogues in SPE-
250 concentrated CRM-FDMT1 extract indicating the retention times where MS/MS acquisition was
251 triggered (*) for each given *m/z* using data-dependent acquisition. Chromatograms are scaled to
252 the max intensity of *m/z* 692.4521 (6.95×10^7 counts per second).
253
254

255 Performance of the full-scan/DDA acquisition method was evaluated for each compound
256 reported in previous manual profiling results for CRM-FDMT1, using an identical sample
257 preparation and similar chromatography [25]. Out of 128 confirmed toxin analogues in that study,

258 125 were detected in full scan, with three low-level compounds not detected due to small
 259 differences in isomer resolution between the chromatographic methods used. Of the compounds
 260 detected, 97 triggered MS/MS acquisition. As seen in Fig. 3, compounds not triggered for MS/MS
 261 were among the lowest intensity analogues, which were measured at peak intensities near, or below
 262 the DDA triggering thresholds set in the method. Effective acquisition of quality MS/MS spectra
 263 for these low-abundance analytes would require additional, dedicated MS/MS experiments with
 264 longer C-trap fill times than feasible for DDA and/or additional sample preconcentration. The
 265 results obtained using the developed DDA methods demonstrated success at acquiring
 266 comprehensive HRMS/MS data in an untargeted fashion for the complex reference material
 267 sample tested.



268
 269 **Fig. 3.** Data acquisition and processing method performance across the range of full-scan ion
 270 intensities observed for toxin analogues in profiling of the SPE concentrated CRM-FDMT1

271 sample. Black bars represent the total number of putative toxin analogues detected from full scan
272 data (solid) and those where MS/MS spectra were acquired (diagonal lines). Grey bars represent
273 the number of putative toxins that were detected using Compound Discoverer (solid) and those
274 remaining in the final results table after automated filtering (horizontal lines).
275

276 3.2 *Data Processing Method Development and Profiling Evaluation*

277
278 The aim when developing the automated data processing workflow was to use Compound
279 Discoverer to reduce complex LC–HRMS/MS data into a list of potential toxin analogues detected,
280 which could then be used as the starting point for data interpretation. Each compound entry in the
281 Compound Discoverer results table (Fig. 4) includes the neutral monoisotopic accurate mass,
282 retention time, full-scan spectra including adducts detected, peak area, predicted molecular
283 formula, best available MS/MS spectra, mass list and database matching results, and the number
284 of class-characteristic product ions and neutral loss masses detected.



285

286 **Fig. 4.** Screen capture of a Compound Discoverer results table sorted by PTX class coverage from ESI⁺ profiling of CRM-FDMT1
 287 showing the extracted ion chromatogram, and full scan HRMS spectrum for the selected compound, pectenotoxin-2 seco acid (PTX2sa).

288 One of the main challenges of processing method optimization was determining peak
289 detection thresholds that gave an appropriate balance between detection of low-abundance toxin
290 analogues while minimizing the number of artefactual entries in the compound table. The
291 availability of a well characterized reference material was critical in optimizing the parameters for
292 peak detection, which can be difficult to critically assess using either unknown or spiked samples.
293 Initial parameters for peak detection were chosen based on retention time, peak width and intensity,
294 number of full-scan data points across each peak, and the number of isotope peaks observed for
295 known toxin analogues detected in full-scan. Optimization of peak detection parameters in
296 Compound Discoverer was done using automated mass-list searching against a list of the known
297 toxin analogues in CRM-FDMT1, which allowed for rapid assessment of the number of properly
298 detected peaks in the resulting compound table. Using this approach, it was determined that the
299 most effective peak detection threshold settings that gave the best balance of detection for low
300 intensity toxins while minimizing artefactual entries in the compound table were the minimum
301 number of full scan points across the chromatographic peak (6) and number of isotope peaks
302 observed in full scan (3). By analyzing the rich isomer profile in CRM-FDMT1 it was observed
303 that using a maximum width at half height as a peak detection parameter was detrimental to
304 detection of unresolved isomers.

305 During optimization of the peak detection settings, it was observed that molecular formulae
306 were often incorrectly assigned for compounds primarily observed as ammonium adducts in
307 positive full-scan data. This was particularly relevant in this study for mass-list searching of PTXs,
308 which primarily formed $[M+NH_4]^+$ adducts and for which little or no $[M+H]^+$ was detected. This
309 could be addressed by including the formulae of both protonated and ammoniated PTXs as
310 separate mass list entries, and by manually verifying the presence of the $[M+Na]^+$ adduct during

311 subsequent data interpretation. An example of PTX detection using the final method is shown in
312 Fig. 4 where an additional NH₃ was observed in the molecular formula for the compound in row
313 7, which is one of the lowest abundance isomers of the 16:0 acyl ester of pectenotoxin-2 seco acid
314 (PTX2sa), while isomers in rows 2–5 show the correct molecular formula although these were not
315 assigned a name due to their absence in the mass list.

316 Detection of suspected toxins was performed by searching for characteristic product ions
317 from each toxin class (Table 1). This approach is similar to that used previously with low resolution
318 precursor ion scanning and manual data processing to detect lipophilic algal toxins [28-30], and
319 more recently in an LC–HRMS/MS study detecting cyclic imines [17]. Here, “compound class
320 scoring” reported the percentage of product ions detected from the list of ions in each class. In
321 some instances, low specificity associated with using low-mass product ions resulted in matrix
322 compounds remaining in the filtered results table. It was therefore important to use several
323 characteristic product ions, allowing the results table to be sorted by class score for each toxin
324 class as an initial step when viewing the results table (Fig. 4). For the lipophilic toxins in this study,
325 characteristic neutral losses (Table 1) are among the most abundant product ions in MS/MS spectra
326 at the collision energies used, however, their specificity is typically low. Additional confirmation
327 was achieved by searching the mzCloud spectral library for natural toxins, containing 64
328 compounds, [31] 26 of which were algal toxins considered in this study. These gave match scores
329 based on comparison of database data with experimental spectra (Fig. S2), thereby providing
330 additional confirmation of known toxins with available standards.

331 In order to critically evaluate the performance of the data processing method in detecting
332 unknown toxin analogues, a mass list consisting only of lipophilic shellfish toxins previously
333 reported [22,27] prior to the characterization of CRM-FDMT1 was used for all subsequent method

334 evaluation. The entries in the “Name” column (Fig 4) are only populated for compounds with
335 either mzCloud or mass list matches using this workflow. For compounds annotated based on
336 mass-list entries, manual MS/MS interpretation and/or retention time matching with standards are
337 required to further identify the compound, which was beyond the scope of this work.

338 Evaluation of the NTA method was carried out by comparing the entries in the final
339 compound table to the results of previous exhaustive manual LC–HRMS/MS profiling of CRM-
340 FDMT1 [25]. First, the results table was searched for the 125 compounds detected by the data
341 acquisition method before and after results filtering, showing >95 % and 87 % of these compounds,
342 respectively. Toxin analogues not detected as compounds from full-scan data exhibited either low
343 overall ion abundance or poor chromatographic behaviour (e.g. noisy baseline, poor isomer
344 resolution). Low-abundance compounds often did not have enough full-scan points across the peak
345 or enough isotopic peaks to meet the minimum thresholds set (see Table S1). However, decreasing
346 these thresholds to capture more low-abundance toxin analogues led to a disproportionate increase
347 in artefactual entries in the results table, rendering it less useful. Toxin analogues detected as
348 compounds but not retained after filtering the results table were typically low-abundance ions
349 without sufficient precursor ion intensity to yield useful MS/MS spectra that were also not present
350 on the mass list used.

351 Filtered results tables from ESI⁺ and ESI⁻ contained 1424 and 833 compounds,
352 respectively. Critical analysis of these combined entries revealed well over 300 compounds that
353 were considered probable toxin analogues based on suitable retention times, toxin-like
354 fragmentation, or likelihood as isomers of more abundant known toxin analogues. As can be seen
355 from the summary of suspected toxin analogues (Table S2), many of these additional compounds
356 were fatty acid acyl esters of known toxins, which are invariably detected in shellfish along with

357 their non-conjugated parent toxins. The presence of a complex acyl ester toxin profile was noted
358 previously, although results for these ester variants were not individually tabulated [25]. Among
359 the processed results from this automated approach were two new putative AZA analogues that
360 had not been previously summarized in CRM-FDMT1 during manual profiling (Fig. S3 and S4).
361 The AZA with an accurate mass of m/z 702.4589 observed in this study was overlooked in previous
362 studies because of its partial co-elution with AZA1, which is present at much higher levels (Table
363 S2). Examples such as these demonstrate the method's ability to selectively collect and summarize
364 data for true unknowns among the targeted toxin classes.

365 The use of DDA with automated profiling in this study provided comprehensive analysis
366 of individual chromatographic peaks at a level of detail that is prohibitively labour intensive to do
367 manually. Extensive analogue profiling information can enhance the utility of biological and
368 environmental CRMs and will also be of value for CRM users who are increasingly using more
369 complex analytical methods in their own laboratories. In this work, the availability of CRM-
370 FDMT1 as a tool to critically assess method performance in profiling a large number of toxin
371 analogues across a broad range of retention time and ion abundances provides confidence in
372 automated peak detection. In future use of the method, improvements in compound name
373 annotation can be made using mass lists updated with a comprehensive list of toxin analogues
374 including those identified here and in forthcoming studies.

375

376 3.3 *Application of NTA approach to CRM Stability Assessment*

377

378 Providing evidence of stability for certified analytes in a reference material matrix is a
379 requirement for CRM certification and can have a significant impact on the overall uncertainty
380 budgets associated with the certified values. Conservative uncertainty estimates frequently

381 adopted for stable analytes in matrix CRMs [32] are highly dependent on the magnitude of
382 measurement uncertainty (e.g. repeatability). Before applying the developed NTA methodology to
383 CRM stability assessment, the quality of LC–HRMS data collected was assessed for the certified
384 toxins in CRM-FDMT1. Relative standard deviations calculated from the reference samples (n =
385 9) demonstrated repeatability ranging from 2–11 % for the certified analytes in CRM-FDMT1,
386 which was equivalent to the range of repeatability observed by targeted SRM methods (1–11 %)
387 [20,33], which aligns with previously reported LC–HRMS methods using similar instrumentation
388 [34].

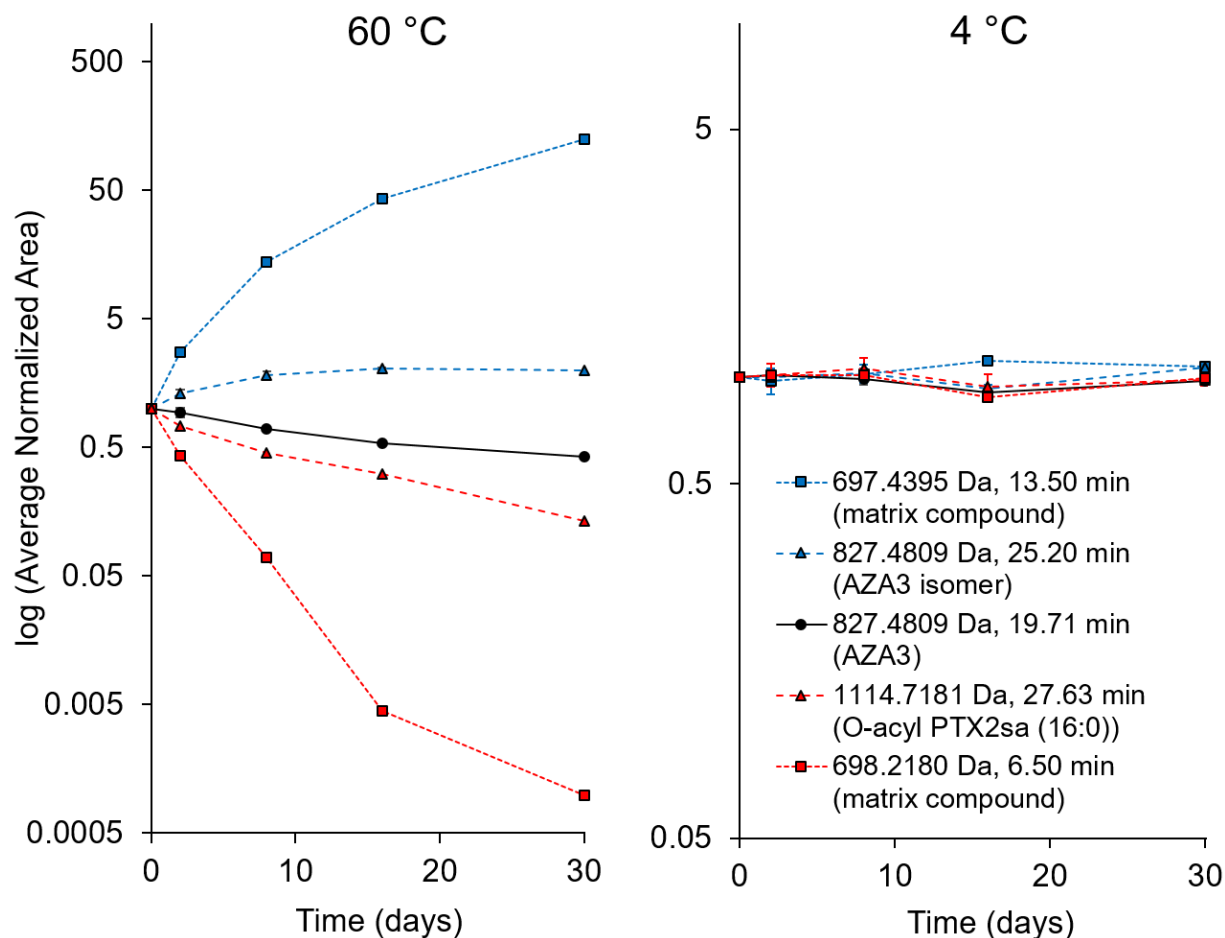
389 The use of LC–HRMS allows for the retrospective evaluation of new analytes of interest
390 for certification from existing data. One such example in CRM-FDMT1 is PnTX-G, an algal toxin
391 from the cyclic imine group, which now has a calibration solution CRM available [35]. Using the
392 conservative uncertainty estimation approach previously employed for CRM-FDMT1, LC–HRMS
393 data for PnTX-G was used to estimate a stability uncertainty of 3.1 %, which is reasonable in
394 comparison to previously reported values for 13-desmethylspirolide-C (1.9 %) considering PnTX-
395 G is present at a concentration approximately two orders of magnitude lower [36]. Although the
396 data used to derive this stability uncertainty estimate showed no detectable instability, it would
397 remain a significant component in the final combined uncertainty.

398 The methods developed can also be used to gain additional insight into CRM stability
399 during storage and transport from the data acquired for many other compounds beyond just
400 certified analytes. In the original CRM-FDMT1 stability studies, instability of certified analytes
401 was only detected at 60 °C, showing AZA3 to be the most significantly degraded of the certified
402 toxins [20]. Stability assessment of additional compounds was performed in Compound
403 Discoverer without any additional manual processing. This could be done by normalizing peak

404 areas to the average peak area of control samples and analyzing this ratio for trends across the time
405 series graphically (Fig. S5), or by analyzing the ratio of each compound's average peak area for a
406 temperature condition to that of the reference condition.

407 Through sorting the result table by class score and using the approaches described above,
408 several analogues from each toxin class were observed to be less stable than the certified analytes
409 in CRM-FDMT1 at the 60 °C condition. By including DDA runs from profiling experiments in
410 the stability data processing workflow, corresponding MS/MS spectra were appended to
411 compound table entries from the stability study, enabling sorting by class score for individual toxin
412 classes. Doing this for spirolides and pinnatoxins (Fig. S5), compounds observed to be forming or
413 degrading at test conditions could quickly be compared to the stability trends for certified analytes
414 (Fig. 5). Among the most significantly degrading toxin analogues were PTX2sa acyl esters, with
415 the palmitoyl (16:0) ester eluting at 27.63 min decreasing in intensity by approximately 8-fold
416 after 30 days. Late eluting isomers of AZA3 (25.20 min, Fig. 5) and 13-desMe-SPX C (12.88 min,
417 Fig. S5), had large relative increases in peak areas over the course of the study, showing 2- and 3-
418 fold increases, respectively, at 60 °C for 30 days. No instability of these compounds was detected
419 at temperatures ≤ 18 °C.

420



421 Fig 5. Short-term stability data for 60 °C (left) and 4 °C (right) conditions showing AZA3 (black
 422 circles), the most significantly forming (blue) and degrading (red) toxin analogues (triangles) and
 423 non-targeted matrix compounds (squares). Mean (n = 3) peak areas for compounds shown are the
 424 sum of all detected adducts from the neutral monoisotopic mass given at the specified retention
 425 time at each sample point and normalized to the mean area (n = 9) at the reference (-20 °C)
 426 condition. Error bars are ± the relative standard deviation about the mean results.
 427
 428

429 A non-targeted assessment of the stability of CRM FDMT1 was then carried out by looking
 430 for stability trends in the unfiltered results table containing all detected compounds. One of the
 431 largest increases observed in the control-normalized peak area ratio after 30 days at 60 °C was a
 432 compound with a monoisotopic neutral mass of 697.4395 Da eluting at 13.5 min with a ratio over
 433 35-fold higher than any detected toxin analogue (Fig. 5). This indicated that compounds present
 434 in CRM-FDMT1 not previously targeted in stability studies are far more susceptible to degradation

435 than certified toxins, and the toxin analogues assessed in this study. Literature searching and
436 MS/MS interpretation tentatively identified this compound as one of several degradation products
437 of erythromycin A [37], an antibiotic added to CRM-FDMT1 during preparation of the CRM [38].
438 Over 190 compounds exhibited reduced stability compared to any of the toxin analogues in the
439 short-term stability results table. It is also noteworthy that no compound instability could be
440 detected at 4°C for the one year period of the long-term stability study.

441 While it is still necessary to characterize the stability of certified analytes, other compounds
442 with reduced stability such as those detected in this study offer the potential to serve as early
443 indicators of instability during ongoing stability monitoring of CRM products. Additionally, their
444 instability relative to certified analogues could be considered when evaluating the need to assign
445 uncertainty contributions for certified analogues from stability study data.

446 For CRM-FDMT1, the demonstrated use of non-targeted stability assessment shows that
447 at the recommended storage conditions of -12 °C there is negligible risk of instability. The NTA
448 approach to stability assessment developed in this study could also be used to assess the stability
449 of matrix CRMs certified to be free of an analyte of interest, as well as a tool to study potential
450 changes in commutability of a matrix CRM over time.

451 **4 Conclusion** 452

453 This study demonstrates the potential of incorporating NTA into matrix CRM
454 development. The availability of CRM-FDMT1, with information on the presence of over 100
455 toxin analogues, provided a unique opportunity for development and evaluation of DDA and
456 automated data processing methods using commercial metabolomics software. The methods
457 presented were effective at profiling toxin analogues in CRM-FDMT1, providing a detailed
458 summary of the data collected with significantly less effort than manual data analysis. Previously

459 unreported putative AZA compounds were detected, demonstrating the broader value of the non-
460 targeted approach to profiling applications.

461 When applied to a set of stability study samples for CRM-FDMT1, non-targeted LC-
462 HRMS methods provided high quality data on both certified and uncertified toxin analogues and
463 a wide range of matrix components. These data were suitable for retrospective stability analysis
464 that could inform certification of additional analytes without the need for new experimentation.
465 The availability of relative stability data on uncertified toxin analogues and matrix components
466 over an order of magnitude less stable than certified analytes offers a data-driven approach for
467 evaluating overall CRM stability. In the future, this could include ongoing monitoring of selected
468 stability markers along with certified analytes. The approaches presented here can be considered
469 broadly applicable to CRMs for other analyte classes or matrices and could be particularly useful
470 for negative control matrix CRMs.

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479
480

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